

Problem 1. The helicoid is the surface given by the chart

$$\phi(u, v) = (v \cos u, v \sin u, u), \quad u, v \in \mathbb{R}.$$

Use a mathematica `ParametricPlot3D` (or similar) to plot this surface. Compute (by hand) the first and second fundamental forms I, II and mean curvature H of this surface.¹

Solution. $\phi_u = (-v \sin u, v \cos u, 1)$ $\phi_v = (\cos u, \sin u, 0)$
 $\phi_{uu} = (-v \cos u, -v \sin u, 0)$ $\phi_{vv} = (0, 0, 0)$ $\phi_{uv} = (-\sin u, \cos u, 0)$

$$I = \begin{pmatrix} \langle \phi_u, \phi_u \rangle & \langle \phi_u, \phi_v \rangle \\ \langle \phi_v, \phi_u \rangle & \langle \phi_v, \phi_v \rangle \end{pmatrix} = \begin{pmatrix} v^2 + 1 & 0 \\ 0 & 1 \end{pmatrix}$$

$$\phi_u \times \phi_v = (-\sin u, \cos u, -v^2), \quad |\phi_u \times \phi_v| = \sqrt{v^2 + 1}$$

$$N \circ \phi = \frac{\phi_u \times \phi_v}{|\phi_u \times \phi_v|} = \frac{1}{\sqrt{v^2 + 1}} (-\sin u, \cos u, -v^2)$$

$$II = \begin{pmatrix} \langle N \circ \phi, \phi_{uu} \rangle & \langle N \circ \phi, \phi_{uv} \rangle \\ \langle N \circ \phi, \phi_{uv} \rangle & \langle N \circ \phi, \phi_{vv} \rangle \end{pmatrix} = \frac{1}{\sqrt{v^2 + 1}} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

$$H = -\frac{1}{2} \left(\frac{eG + gE - 2fF}{EG - F^2} \right) = 0$$

□

¹Hint: Your answer for the mean curvature, if correct, will be exceedingly simple.

Problem 2. Consider the curve²

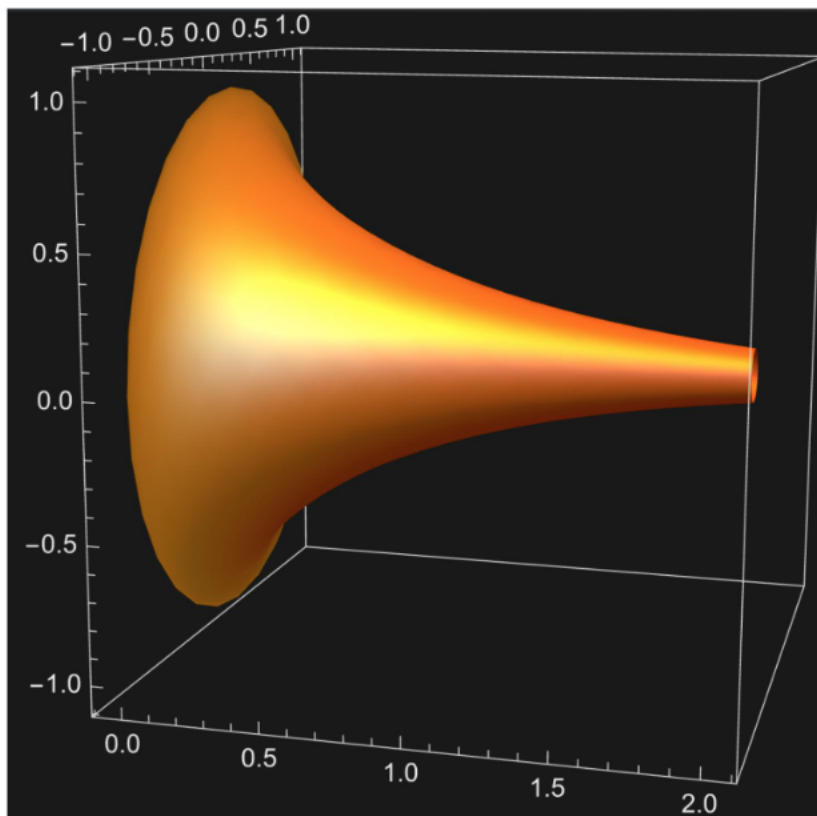
$$\alpha(t) = (t - \tanh t, \operatorname{sech} t, 0), \quad t > 0.$$

Let S be the surface obtained by revolving α about the x -axis. Use a mathematica `ParametricPlot3D` (or similar) to plot this surface. Compute (by hand) the first and second fundamental forms I, II and Gauss curvature K of this surface.³

Solution. We are given a curve of the form $(x(t), y(t), 0)$. To express a surface of revolution on the x -axis, we rotate along the x -axis by an angle θ : we write $(x(t), y(t) \cos(\theta), y(t) \sin(\theta))$. We then have R produced by α as

$$R(t) = (t - \tanh(t), \operatorname{sech}(t) \cos(\theta), \operatorname{sech}(t) \sin(\theta))$$

This produces the following graph in Mathematica:



We compute the first partials of the chart with respect to spanning vectors u and v . Letting

²Recall the hyperbolic trig functions are defined by $\cosh(t) = \frac{e^t + e^{-t}}{2}$, $\sinh(t) = \frac{e^t - e^{-t}}{2}$, etc. I suggest you derive or look up formulas for the derivatives and identities satisfied by these functions.

³Hint: Your answer for the Gauss curvature, if correct, will be exceedingly simple.

$$s = \operatorname{sech}(t) \tanh(t),$$

$$\begin{aligned} R_t &= (\tanh^2(t), -s \cos(\theta), -s \sin(\theta)) \\ R_\theta &= (0, -\operatorname{sech}(t) \sin(\theta), \operatorname{sech}(t) \cos(\theta)) \end{aligned}$$

We can next compute the inner products for R_t and R_θ which are the coefficients for I :

$$\begin{aligned} E &= \langle R_t, R_t \rangle \\ &= (1 - \operatorname{sech}^2(t))^2 + s^2 \cos^2(\theta) + s^2 \sin^2(\theta) \\ &= (1 - 2\operatorname{sech}^2(t) + (\operatorname{sech}^2(t))^2 + \operatorname{sech}(t)^2 \tanh(t)^2) \\ &= 1 + (\operatorname{sech}^2(t))(-2 + \operatorname{sech}^2(t) + \tanh^2(t)) \\ &= 1 + (\operatorname{sech}^2(t))(-2 + 1) = 1 - \operatorname{sech}^2(t) \\ F &= \langle R_t, R_\theta \rangle \\ &= (-s \cos(\theta))(-\operatorname{sech}(t) \sin(\theta)) + (-s \sin(\theta))(\operatorname{sech}(t) \cos(\theta)) \\ &= s \operatorname{sech}(t) \cos(\theta) \sin(\theta) - s \operatorname{sech}(t) \cos(\theta) \sin(\theta) \\ &= 0 \\ G &= \operatorname{sech}^2(t) \sin^2(\theta) + \operatorname{sech}^2(t) \cos^2(\theta) \\ &= \operatorname{sech}^2(t) \end{aligned}$$

$$I = \begin{pmatrix} E & F \\ F & G \end{pmatrix} = \begin{pmatrix} 1 - \operatorname{sech}^2(t) & 0 \\ 0 & \operatorname{sech}^2(t) \end{pmatrix}$$

We can calculate N :

$$\begin{aligned} N |R_t \times R_\theta| &= R_t \times R_\theta \\ &= \begin{vmatrix} \mathbf{i} & \mathbf{j} & \mathbf{k} \\ \tanh^2(t) & -s \cos(\theta) & -s \sin(\theta) \\ 0 & -\operatorname{sech}(t) \sin(\theta) & \operatorname{sech}(t) \cos(\theta) \end{vmatrix} \end{aligned}$$

Problem 3. Let T be a torus of revolution. Use the Gauss map to argue that the average Gaussian curvature over T is zero.⁴

Solution. A torus of revolution can be obtained by revolving a circle of radius r centered at $(R, 0)$ in the x - z plane about the z -axis. Its parametrization is

$$\phi(u, v) = ((R + r \cos v) \cos u, (R + r \cos v) \sin u, r \sin v), \quad 0 \leq u, v < 2\pi.$$

We compute

$$\phi_u = (-(R + r \cos v) \sin u, (R + r \cos v) \cos u, 0), \quad \phi_v = (-r \sin v \cos u, -r \sin v \sin u, r \cos v).$$

Then

$$E = \phi_u \cdot \phi_u = (R + r \cos v)^2, \quad F = \phi_u \cdot \phi_v = 0, \quad G = \phi_v \cdot \phi_v = r^2.$$

Next, the second derivatives are

$$\begin{aligned} \phi_{uu} &= (-(R + r \cos v) \cos u, -(R + r \cos v) \sin u, 0), \\ \phi_{uv} &= (r \sin v \sin u, -r \sin v \cos u, 0), \\ \phi_{vv} &= (-r \cos v \cos u, -r \cos v \sin u, -r \sin v). \end{aligned}$$

The normal vector is obtained from

$$\phi_u \times \phi_v = r(R + r \cos v)(\cos u \cos v, \sin u \cos v, \sin v),$$

so the unit normal (Gauss map) is

$$N(u, v) = (\cos u \cos v, \sin u \cos v, \sin v).$$

Using this, we compute

$$\begin{aligned} e &= \phi_{uu} \cdot N = -(R + r \cos v) \cos v, \\ f &= \phi_{uv} \cdot N = 0, \\ g &= \phi_{vv} \cdot N = -r. \end{aligned}$$

The Gaussian curvature is

$$K = \frac{eg - f^2}{EG - F^2} = \frac{(-(R + r \cos v) \cos v)(-r)}{(R + r \cos v)^2 r^2} = \frac{\cos v}{r(R + r \cos v)}.$$

The area element is

$$dA = \|\phi_u \times \phi_v\| du dv = r(R + r \cos v) du dv.$$

Hence

$$\int_T K dA = \int_0^{2\pi} \int_0^{2\pi} \frac{\cos v}{r(R + r \cos v)} r(R + r \cos v) dv du = \int_0^{2\pi} \int_0^{2\pi} \cos v dv du.$$

Integrating,

$$\int_0^{2\pi} \cos v dv = \sin v \Big|_0^{2\pi} = 0,$$

so

$$\int_T K dA = 0.$$

□

⁴Hint: use some symmetry. Try not to do much computation.

Problem 4. Let A be a symmetric 2×2 matrix. Write $v(\theta) = (\cos \theta, \sin \theta)$ for a point on the unit circle. Show that the average value of $\langle Av(\theta), v(\theta) \rangle$ is equal to $\frac{1}{2}\text{tr}(A)$. Use this to explain the terminology “mean curvature”.⁵

Solution. We define A as

$$A = \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix}, \text{ where } a_{12} = a_{21}.$$

Therefore, we can compute

$$\begin{aligned} \langle Av(\theta), v(\theta) \rangle &= \left\langle \begin{pmatrix} a_{11} \cos \theta + a_{12} \sin \theta \\ a_{21} \cos \theta + a_{22} \sin \theta \end{pmatrix}, v(\theta) \right\rangle \\ &= a_{11} \cos^2 \theta + a_{22} \sin^2 \theta + 2a_{12} \cos \theta \sin \theta \end{aligned}$$

To find the average, we do the sum over the range, which is

$$\begin{aligned} &\frac{1}{2\pi} \int_0^{2\pi} a_{11} \cos^2 \theta + a_{22} \sin^2 \theta + 2a_{12} \cos \theta \sin \theta \, d\theta \\ &= \frac{a_{11}\pi + a_{22}\pi}{2\pi} \\ &= \frac{1}{2}(a_{11} + a_{22}) =: \frac{1}{2}\text{tr}(A) \end{aligned}$$

Geometrically, if A is the shape operator of a surface, then for any unit tangent vector $v(\theta)$, the quantity

$$\kappa_n(\theta) = \langle Av(\theta), v(\theta) \rangle$$

is the normal curvature in that direction. The computation above shows that the average of $\kappa_n(\theta)$ over all directions satisfies

$$\frac{1}{2\pi} \int_0^{2\pi} \kappa_n(\theta) \, d\theta = \frac{1}{2}\text{tr}(A).$$

Since the eigenvalues of A are the principal curvatures κ_1, κ_2 , we have

$$\frac{1}{2}\text{tr}(A) = \frac{\kappa_1 + \kappa_2}{2} =: H.$$

Therefore, the mean curvature H is literally the average of the normal curvatures in all tangent directions, which explains the term “mean curvature.”

□

⁵There is only one completely correct answer here, so please think carefully.