# SURFACE MAPPING CLASS GROUP ACTIONS ON 3-MANIFOLDS 

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#### Abstract

For each circle bundle $S^{1} \rightarrow X \rightarrow \Sigma_{g}$ over a surface with genus $g \geq 2$, there is a natural surjection $\pi: \operatorname{Homeo}^{+}(X) \rightarrow \operatorname{Mod}\left(\Sigma_{g}\right)$. When $X$ is the unit tangent bundle $U \Sigma_{g}$, it is well-known that $\pi$ splits. On the other hand $\pi$ does not split when the Euler number $e(X)$ is not divisible by the Euler characteristic $\chi\left(\Sigma_{g}\right)$ by CT23. In this paper we show that this homomorphism does not split in many cases where $\chi\left(\Sigma_{g}\right)$ divides $e(X)$.


## 1. Introduction

Let $\Sigma_{g}$ be a closed oriented surface of genus $g \geq 2$, and let $X_{g, e}$ denote the oriented $S^{1}$-bundle over $\Sigma_{g}$ with Euler number $e$. Let $\operatorname{Homeo}^{+}\left(X_{g, e}\right)$ be the group of orientation-preserving homeomorphisms of $X_{g, e}$ that act trivially on the center of $\pi_{1}\left(X_{g, e}\right)$, and let $\operatorname{Mod}\left(X_{g, e}\right):=\pi_{0}\left(\operatorname{Homeo}^{+}\left(X_{g, e}\right)\right)$ denote the mapping class group.

The (generalized) Nielsen realization problem for $X_{g, e}$ asks whether the surjective homomorphism

$$
\operatorname{Homeo}^{+}\left(X_{g, e}\right) \rightarrow \operatorname{Mod}\left(X_{g, e}\right)
$$

splits over subgroups of $\operatorname{Mod}\left(X_{g, e}\right)$. In this paper we study a closely related problem. For each $g, e$ there is a surjection $\operatorname{Mod}\left(X_{g, e}\right) \rightarrow \operatorname{Mod}\left(\Sigma_{g}\right)$. Consider the composition

$$
\pi_{g, e}: \operatorname{Homeo}^{+}\left(X_{g, e}\right) \rightarrow \operatorname{Mod}\left(X_{g, e}\right) \rightarrow \operatorname{Mod}\left(\Sigma_{g}\right) .
$$

Problem 1.1. Does $\pi_{g, e}: \operatorname{Homeo}^{+}\left(X_{g, e}\right) \rightarrow \operatorname{Mod}\left(\Sigma_{g}\right)$ spilt?
If $e= \pm(2 g-2)$, then $X_{g, e}$ is the unit (co)tangent bundle, and $\pi_{g, e}$ does split; see [Sou10, §1]. On the other hand, if $e$ is not divisible by $2 g-2$, then the surjection $\operatorname{Mod}\left(X_{g, e}\right) \rightarrow \operatorname{Mod}\left(\Sigma_{g}\right)$ does not split by work of the second two authors [T23, so $\pi_{g, e}$ also does not split in these cases. Given this, it remains to study the case when $e$ is divisible by $2 g-2$ and $e \neq \pm(2 g-2)$. In these cases $\operatorname{Mod}\left(X_{g, e}\right) \rightarrow \operatorname{Mod}\left(\Sigma_{g}\right)$ does split [CT23], but we prove $\pi_{g, e}$ does not split in many cases.

Theorem A. Fix a surface $\Sigma_{g}$ of genus $g$ and $e \in \mathbb{Z}$. Assume that $g=4 k-1$ where $k \geq 3$ and $k$ is not a power of 2, and assume that $e$ is divisible by $(2 g-2) 2 p$ where $p$ is an odd prime dividing $k$. Then the natural surjective homomorphism $\pi_{g, e}: \operatorname{Homeo}^{+}\left(X_{g, e}\right) \rightarrow \operatorname{Mod}\left(\Sigma_{g}\right)$ does not split.

[^0]For example, if $e=0$, we find that $\pi_{g, e}: \operatorname{Homeo}^{+}\left(\Sigma_{g} \times S^{1}\right) \rightarrow \operatorname{Mod}\left(\Sigma_{g}\right)$ does not split when $g=11,19,23,27,35,39,43,47, \ldots$..

Theorem A solves the Nielsen realization problem for $\operatorname{Mod}\left(\Sigma_{g}\right)$ subgroups of $\operatorname{Mod}\left(X_{g, e}\right)$ in the cases of the theorem. Specifically, if $e$ is divisible by $2 g-2$, then $\operatorname{Mod}\left(X_{g, e}\right) \cong H^{1}\left(\Sigma_{g} ; \mathbb{Z}\right) \rtimes \operatorname{Mod}\left(\Sigma_{g}\right)$ CT23], and every $\operatorname{Mod}\left(\Sigma_{g}\right)$ subgroup of $\operatorname{Mod}\left(X_{g, e}\right)$ is the image of a splitting of $\operatorname{Mod}\left(X_{g, e}\right) \rightarrow \operatorname{Mod}\left(\Sigma_{g}\right)$. By Theorem A. Homeo ${ }^{+}\left(X_{g, e}\right) \rightarrow \operatorname{Mod}\left(X_{g, e}\right)$ does not split over any of these $\operatorname{Mod}\left(\Sigma_{g}\right)$ subgroups.

Theorem A has the following topological consequence. When $2 g-2$ divides $e$, there is a "tautological" $X_{g, e}$-bundle $E_{g, e}^{\text {taut }} \rightarrow B \operatorname{Homeo}\left(\Sigma_{g}\right)$ whose monodromy

$$
\operatorname{Mod}\left(\Sigma_{g}\right) \cong \pi_{1}\left(B \operatorname{Homeo}\left(\Sigma_{g}\right)\right) \rightarrow \operatorname{Mod}\left(X_{g, e}\right)
$$

splits the surjection $\operatorname{Mod}\left(X_{g, e}\right) \rightarrow \operatorname{Mod}\left(\Sigma_{g}\right)$ (c.f. [CT23, §1]). One can ask whether or not the bundle $E_{g, e}^{\text {taut }} \rightarrow B \operatorname{Homeo}\left(\Sigma_{g}\right)$ is flat. Recall that an $X$-bundle $E \rightarrow B$ is flat if there is a homomorphism $\rho: \pi_{1}(B) \rightarrow$ $\operatorname{Homeo}(X)$ and an $X$-bundle isomorphism $E \cong X \rtimes_{\rho} B$. Such bundles are characterized by the existence of a horizontal foliation on $E$, or, equivalently, by the property that their monodromy $\pi_{1}(B) \rightarrow \operatorname{Mod}(X)$ lifts to Homeo $(X)$. When $e=2 g-2$, the bundle $E_{g, e}^{\text {taut }} \rightarrow B \operatorname{Homeo}\left(\Sigma_{g}\right)$ is flat because of the splitting of $\pi_{g, e}$ in this case. When $\pi_{g, e}$ does not split, we deduce that $E_{g, e}^{\text {taut }} \rightarrow B \operatorname{Homeo}\left(S_{g}\right)$ is not flat.
Corollary 1.2. Fix $g$, e as in the statement of Theorem A. Then the tautological $X_{g, e}$-bundle $E_{g, e}^{\text {taut }} \rightarrow B$ Homeo $\left(S_{g}\right)$ is not flat.

Short proof sketch of Theorem A. The proof strategy is similar to an argument of Chen-Salter [CS22] that shows that $\operatorname{Homeo}^{+}\left(\Sigma_{g}\right) \rightarrow \operatorname{Mod}\left(\Sigma_{g}\right)$ does not split when $g \geq 2$. Theorem A is proved by contradiction: assuming the existence of a splitting $\operatorname{Mod}\left(\Sigma_{g}\right) \rightarrow \operatorname{Homeo}^{+}\left(X_{g, e}\right)$, first we obtain, by lifting, an action of the based mapping class group $\operatorname{Mod}\left(\Sigma_{g}, *\right)$ on the cover $\widehat{X}_{g, e} \cong \mathbb{R}^{2} \times S^{1}$ corresponding to the center of $\pi_{1}\left(X_{g, e}\right)$. The conditions on $g$ and $e$ in Theorem A guarantee the existence of a $\mathbb{Z} / 2 p \mathbb{Z}$ subgroup of $\operatorname{Mod}\left(\Sigma_{g}, *\right)$ for which we can show the action on $\widehat{X}_{g, e}$ has a fixed circle. Denoting a generator of $\mathbb{Z} / 2 p \mathbb{Z}$ by $\alpha$, we show that $\operatorname{Mod}\left(\Sigma_{g}, *\right)$ is generated by the centralizers of $\alpha^{2}$ and $\alpha^{p}$. This shows that the entire group $\operatorname{Mod}\left(\Sigma_{g}, *\right)$ acts on $\widehat{X}_{g, e}$ with a fixed circle, which contradicts the fact that the point-pushing subgroup $\pi_{1}\left(\Sigma_{g}\right)<\operatorname{Mod}\left(\Sigma_{g}, *\right)$ acts freely (by deck transformations) on $\widehat{X}_{g, e}$.

Other questions. Related to the $\operatorname{Mod}\left(\Sigma_{g}\right)$ action on the unit tangent bundle $U \Sigma_{g}$, we pose the following question.

Question 1.3. Do either of the following surjections split?

$$
\operatorname{Diff}^{+}\left(U \Sigma_{g}\right) \rightarrow \operatorname{Mod}\left(\Sigma_{g}\right) \quad \text { or } \quad \operatorname{Homeo}\left(U \Sigma_{g}\right) \rightarrow \operatorname{Mod}\left(U \Sigma_{g}\right)
$$

If one includes orientation-reversing diffeomorphisms and mapping classes, then if $g \geq 12$, then $\operatorname{Diff}\left(U \Sigma_{g}\right) \rightarrow \operatorname{Mod}^{ \pm}\left(\Sigma_{g}\right)$ does not split by Souto Sou10, Thm. 1].

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## 2. Proof of Theorem A

Fix $g=4 k-1$ and $e$ as in the theorem statement, and set $\Sigma=\Sigma_{g}$ and $X=X_{g, e}$. Suppose for a contradiction that there is a homomorphism

$$
\sigma: \operatorname{Mod}(\Sigma) \rightarrow \operatorname{Homeo}(X)
$$

whose composition with $\pi=\pi_{g, e}: \operatorname{Homeo}(X) \rightarrow \operatorname{Mod}(\Sigma)$ is the identity.
2.1. Step 1: lifting argument. Consider the covering space $\widehat{X}=\widetilde{\Sigma} \times S^{1}$ of $X$, where $\widetilde{\Sigma} \cong \mathbb{R}^{2}$ is the universal cover. This is the covering corresponding to the center $\zeta$ of $\pi_{1}(X)$. Given the action of $\operatorname{Mod}(\Sigma)$ on $X$, we consider the set of all lifts of homeomorphisms in this action to $\widehat{X}$. This is an action of the pointed mapping class group $\operatorname{Mod}(\Sigma, *)$ on $\widehat{X}$. To explain this, we start with the following general proposition.

Proposition 2.1. Let $Y$ be a closed manifold. Let $\zeta<\pi_{1}(Y)$ be the center of the fundamental group, and denote $\Delta=\pi_{1}(Y) / \zeta$. Let $\widehat{Y} \rightarrow Y$ be the covering space with $\pi_{1}(\widehat{Y})=\zeta$. Fix a basepoint $* \in Y$. Assume that the evaluation map

$$
\operatorname{Homeo}(Y) \rightarrow Y, \quad f \mapsto f(*)
$$

induces a surjection $\pi_{1}(\operatorname{Homeo}(Y)) \rightarrow \zeta<\pi_{1}(Y)$. Then there is a commutative diagram

whose rows are exact, where the bottom row is the (generalized) Birman exact sequence. Furthermore, this diagram is a pullback diagram.

A version of Proposition 2.1 when $Y=\Sigma_{g}$ (whose center is trivial) is used in CS22.

We prove Proposition 2.1 after explaining how it gives the desired lifting. In our situation, the center of $\pi_{1}(X)$ is the kernel of $\pi_{1}(X) \rightarrow \pi_{1}(\Sigma)$ since
$\pi_{1}(\Sigma)$ has trivial center. Thus Proposition 2.1 gives us the following diagram.


The splitting $\sigma$ defines a subgroup $\operatorname{Mod}(\Sigma)<\operatorname{Mod}(X)$ and a splitting of $p$ over this subgroup. Since the top row is a pullback of the middle row, it follows that $\widehat{p}$ splits over $\operatorname{Mod}(\Sigma, *)$ (this uses only general facts about pullbacks). Denote this splitting by

$$
\widehat{\sigma}: \operatorname{Mod}(\Sigma, *) \rightarrow \operatorname{Homeo}(\widehat{X})^{\Delta} .
$$

Under this splitting the point-pushing subgroup $\pi_{1}(\Sigma)$ acts by deck transformations.

Remark 2.2. If $G<\operatorname{Mod}(\Sigma)$ and $\sigma(G)$ has a fixed point $*$, then after choosing a lift $\widehat{*}$ of $*$, one can lift canonically elements of $\sigma(G)$ to $\widehat{X}$ by choosing the unique lift that fixes $\widehat{*}$. This implies that $G<\operatorname{Mod}(\Sigma)$ can be lifted to $G<\operatorname{Mod}(\Sigma, *)$ so that $\widehat{\sigma}(G)$ has a fixed point.
Proof of Proposition 2.1. First we recall the construction of the bottom row of diagram (1). Evaluation at $* \in Y$ defines a fibration

$$
\operatorname{Homeo}(Y, *) \rightarrow \operatorname{Homeo}(Y) \xrightarrow{\epsilon} Y .
$$

The long exact sequence of homotopy groups gives an exact sequence

$$
\pi_{1}(\operatorname{Homeo}(Y)) \xrightarrow{\epsilon_{*}} \pi_{1}(Y) \rightarrow \operatorname{Mod}(Y, *) \rightarrow \operatorname{Mod}(Y) \rightarrow 1
$$

In general the image of $\epsilon_{*}$ is contained in the center of $\pi_{1}(Y)$; see e.g. Hat02, §1.1, Exer. 20]. By assumption, $\epsilon_{*}$ surjects onto the center, so we obtain the short exact sequence in the bottom row of (1). The homomorphism $\pi_{1}(Y) \rightarrow \operatorname{Mod}(Y, *)$ is the so-called "point-pushing" homomorphism. It sends $\eta \in \pi_{1}(Y)$ (basepoint=*) to the time- 1 map of an isotopy that pushes * around $\eta$ in reverse (this follows directly from the definition of the connecting homomorphism in the long exact sequence; note that it makes sense for the reverse of $\eta$ to appear in defining this homomorphism since concatenation of paths is left-to-right, while composition of functions is right-to-left).

Next we define $\widehat{p}: \operatorname{Homeo}(\widehat{Y})^{\Delta} \rightarrow \operatorname{Mod}(Y, *)$. Fix a point $\widehat{*} \in \widehat{Y}$ that covers the basepoint $* \in Y$. Given $f \in \operatorname{Homeo}(\widehat{Y})^{\Delta}$. Choose a path $[0,1] \rightarrow$ $\widehat{Y}$ from $\widehat{*}$ to $f(\widehat{*})$ and let $\gamma_{f}$ denote the composition $[0,1] \rightarrow \widehat{Y} \rightarrow Y$. By isotopy extension, there exists an isotopy $h_{t}: Y \rightarrow Y$ where $h_{0}=\operatorname{id}_{Y}$ and $h_{t}(*)=\gamma_{f}(t)$ for each $t \in[0,1]$. Define

$$
\widehat{p}(f)=\left[h_{1} \circ q(f)\right] .
$$

The map $\widehat{p}$ is well-defined. The choice of $\gamma_{f}$ is unique only up to an element of $\pi_{1}(\widehat{Y})=\zeta$. This implies that the isotopy class $\left[h_{1} \circ f\right]$ is only well-defined up to composition by a point-pushing mapping class by an element of $\zeta$, but such a point-push is trivial by assumption.

It is a straightforward exercise to check that $\widehat{p}$ is a homomorphism. The right square in diagram (1) commutes because $q(f)$ and $h_{1} \circ q(f)$ are isotopic by construction. It is easy to see that the left square in the diagram commutes by applying the definition of $\widehat{p}$ to deck transformations.

Finally, regarding the claim that the diagram is a pullback, we show that the map to the fibered product

$$
\widehat{p} \times q: \operatorname{Homeo}(\widehat{Y})^{\Delta} \rightarrow \operatorname{Mod}(Y, *) \times_{\operatorname{Mod}(Y)} \operatorname{Homeo}(Y)
$$

is an isomorphism. The codomain consists of pairs $(\phi, g) \in \operatorname{Mod}(Y, *) \times$ $\operatorname{Homeo}(Y)$ such that $g$ is isotopic to a representative of the isotopy class $\phi$.

We define an inverse $\iota$ to $\widehat{p} \times q$. Given $(\phi, g)$ in the fibered product, choose an isotopy $g_{t}$ from $g$ to a homeomorphism representing $\phi$. Lift $g_{t}$ to an isotopy $\widetilde{g}_{t}$ such that $\widetilde{g}_{1}$ fixes $\widehat{*}$, and define $\iota(\phi, g)=\widetilde{g}_{0}$. The reader can check that the maps $\iota$ and $\widehat{p} \times q$ are inverses.
2.2. Step 2: finite group action rigidity. Recall that $g=4 k-1$ and $k \geq 3$ is not a power of 2 ; let $p$ be an odd prime dividing $k$. From Step 1, we have homomorphism $\widehat{\sigma}: \operatorname{Mod}(\Sigma, *) \rightarrow \operatorname{Homeo}(\widehat{X})$ that descends to a splitting $\sigma: \operatorname{Mod}(\Sigma) \rightarrow \operatorname{Homeo}(X)$. In this section we describe the action of a particular finite subgroup of $\operatorname{Mod}(\Sigma, *)$ on $\widehat{X}$.

Proposition 2.3. There exists an element $\alpha \in \operatorname{Mod}(\Sigma, *)$ of order $2 p$ such that the fixed sets of $\widehat{\sigma}(\alpha), \widehat{\sigma}(\alpha)^{2}$, and $\widehat{\sigma}(\alpha)^{p}$ coincide and are equal to an embedded circle $c \subset \widehat{X}$.

It is worth noting that the fixed set of a finite-order, orientation-preserving homeomorphism of a 3-manifold can be wildly embedded [MZ54].

In order to prove Proposition 2.3 we first construct the specific element $\alpha$. Then we prove (Proposition 2.5) a weaker version of Proposition 2.3 with the additional assumption that the action is smooth. Finally, we combine this with a result of Pardon Par21 and Smith theory to prove Proposition 2.3 .

Construction of $\boldsymbol{\alpha}$. We obtain $\alpha$ as an element in a dihedral subgroup $D_{4 k}$ of $\operatorname{Mod}(\Sigma)$, where $D_{4 k}$ denotes the dihedral group of order $8 k$. The dihedral action $D_{4 k} \curvearrowright \Sigma$ we use has quotient $\Sigma / D_{4 k}$ homeomorphic to $T^{2}$ and the quotient $\Sigma \rightarrow \Sigma / D_{4 k}$ has a single branch point; it is determined by the homomorphism

$$
\begin{aligned}
\langle x, y\rangle=F_{2} \cong \pi_{1}\left(T^{2} \backslash \mathrm{pt}\right) & \rightarrow D_{4 k}=\left\langle a, b \mid a^{4 k}=b^{2}=1, b a b=a^{-1}\right\rangle \\
x & \mapsto a, \quad y \mapsto b .
\end{aligned}
$$

By Riemann-Hurwitz, the genus of $\Sigma$ is $4 k-1$. The orbifold $O=\Sigma / D_{4 k}$ has fundamental group

$$
\pi_{1}^{o r b}(O)=\left\langle x, y, h \mid h^{2 k}=1, h=[x, y]\right\rangle,
$$

and there is a short exact sequence

$$
\begin{equation*}
1 \rightarrow \pi_{1}(\Sigma) \rightarrow \pi_{1}^{o r b}(O) \rightarrow D_{4 k} \rightarrow 1 . \tag{2}
\end{equation*}
$$

This sequence induces a homomorphism $\pi_{1}^{\text {orb }}(O) \rightarrow \operatorname{Mod}(\Sigma, *)$. We take $\alpha=h^{k / p}$, where $p$, as defined above, is an odd prime dividing $k$, which exists by assumption. Then $\alpha$ is an element of order $2 p$ in the subgroup $\langle h\rangle \cong \mathbb{Z} / 2 k \mathbb{Z}$ of $\pi_{1}^{\text {orb }}(O)<\operatorname{Mod}(\Sigma, *)$.

Remark 2.4. The argument that follows works equally well when $\Sigma / D_{4 k}$ is a genus- $g$ surface and $\Sigma \rightarrow \Sigma / D_{4 k}$ has a single branched point. This provides more values of $g, e$ for which the conclusion of Theorem A holds.

Smooth case. Here we prove the following proposition.
Proposition 2.5. Fix $D_{4 k}<\operatorname{Mod}(\Sigma)$ as above. Suppose that $\sigma: D_{4 k} \rightarrow$ $\mathrm{Diff}^{+}(X)$ and is a splitting of $\pi: \operatorname{Homeo}^{+}(X) \rightarrow \operatorname{Mod}(\Sigma)$ over $D_{4 k}$. Then $\widehat{\sigma}(\alpha)$ fixes a unique circle on $\widehat{X}=\mathbb{H}^{2} \times S^{1}$. Consequently, the fixed set of $\sigma\left(a^{2 k / p}\right)$ is nonempty.

The last part of the statement of Proposition 2.5 follows from the preceding statement because the image of $\alpha$ under $\pi_{1}^{o r b}(O) \rightarrow D_{4 k}$ is $a^{2 k / p}$.

Proof of Proposition 2.5. First we reduce to a more geometric setting. By Meeks-Scott [MS86, Thm. 2.1], the smooth(!) action $\sigma\left(D_{4 k}\right) \curvearrowright X$ preserves some geometric metric on $X$. There are two possibilities for the geometry: if $e(X)=0$, then $X$ has $\mathbb{H}^{2} \times \mathbb{R}$-geometry, and if $e(X) \neq 0$, then $X$ has $\mathrm{PSL}_{2}(\mathbb{R})$-geometry. We treat these cases in parallel.

The universal cover $\widetilde{X}$ (with the induced geometric structure) is either $\mathbb{H}^{2} \times \mathbb{R}$ or $\mathrm{PSL}_{2}(\mathbb{R})$. In either case, $\widetilde{X}$ has an isometric foliation by lines whose leaf space is isometric to $\mathbb{H}^{2}$, and this foliation is preserved by $\operatorname{Isom}(\widetilde{X})$, so there is a homomorphism $\operatorname{Isom}(\widetilde{X}) \rightarrow \operatorname{Isom}\left(\mathbb{H}^{2}\right)$. Let $\operatorname{Isom}{ }^{+}(\widetilde{X})<\operatorname{Isom}(\widetilde{X})$ be the group whose action on the leaves and on the leaf space are both orientation preserving. There is an exact sequence

$$
\begin{equation*}
1 \rightarrow \mathbb{R} \rightarrow \operatorname{Isom}^{+}(\tilde{X}) \xrightarrow{F} \operatorname{Isom}^{+}\left(\mathbb{H}^{2}\right) \rightarrow 1 \tag{3}
\end{equation*}
$$

See also [Sco83, §4].
Next consider the group $\Lambda$ of all lifts of elements of $\sigma\left(D_{4 k}\right)<\operatorname{Isom}(X)$ to Isom ${ }^{+}(\widetilde{X})$. This yields an exact sequence

$$
1 \rightarrow \pi_{1}(X) \rightarrow \Lambda \rightarrow D_{4 k} \rightarrow 1
$$

The action of $\Lambda$ on $\widetilde{X}$ induces an action of $\Lambda / \zeta$ on $\widetilde{X} / \zeta=\widehat{X} \cong \mathbb{H}^{2} \times S^{1}$, where $\zeta$ is the center of $\pi_{1}(X)$. This action extends to an action of $\operatorname{Isom}^{+}(\widetilde{X}) / \zeta$, and there is a homomorphism

$$
\rho: \Lambda / \zeta \rightarrow \operatorname{Isom}^{+}(\widetilde{X}) / \zeta \xrightarrow{\cong} \operatorname{Isom}(\widehat{X}) .
$$

The last map is an isomorphism by the general formula $\operatorname{Isom}(\tilde{X} / \Lambda)=$ $N_{\text {Isom }(\widetilde{X})}(\Lambda) / \Lambda$ for discrete subgroups $\Lambda<\operatorname{Isom}(\widetilde{X})$.

To prove the proposition, we first identify $\Lambda / \zeta$ with $\pi_{1}^{o r b}(O)$ (Claim 2.6). Then it is a formal consequence of our setup that $\rho\left(h^{k / p}\right)=\widehat{\sigma}(\alpha)$, and after showing $\operatorname{Isom}^{+}(\widetilde{X}) / \zeta \cong \operatorname{Isom}^{+}\left(\mathbb{H}^{2}\right) \times \mathrm{SO}(2)$ (Claim 2.7), we show that $\rho\left(h^{k / p}\right)$ fixes a unique circle in $\widehat{X}$ (Claim 2.8).
Claim 2.6. The restriction of the sequence (3) to $\Lambda$ is a short exact sequence

$$
1 \rightarrow \zeta \rightarrow \Lambda \rightarrow \pi_{1}^{o r b}(O) \rightarrow 1
$$

where $\zeta$ is the center of $\pi_{1}(X)$.
Proof of Claim 2.6. Recall the map $F: \operatorname{Isom}^{+}(\tilde{X}) \rightarrow \operatorname{Isom}^{+}\left(\mathbb{H}^{2}\right)$ from (3). First we identify $F(\Lambda)<\operatorname{Issm}^{+}\left(\mathbb{H}^{2}\right)$ with $\pi_{1}^{o r b}(O)$. For this, it suffices to show that $F(\Lambda)$ fits into a short exact sequence

$$
\begin{equation*}
1 \rightarrow \pi_{1}(\Sigma) \rightarrow F(\Lambda) \rightarrow D_{4 k} \rightarrow 1 \tag{4}
\end{equation*}
$$

where the "monodromy" $D_{4 k} \rightarrow$ Out $^{+}\left(\pi_{1}(\Sigma)\right) \cong \operatorname{Mod}(\Sigma)$ has image the given subgroup $D_{4 k}<\operatorname{Mod}(\Sigma)$. This implies that $F(\Lambda) \cong \pi_{1}^{\text {orb }}(O)$ because $\pi_{1}^{\text {orb }}(O)$ is an extension of the same form (see 2p), and extensions of $\pi_{1}(\Sigma)$ are determined by their monodromy [Bro82, §IV.3].

To construct the extension (4), first note that the restriction of (3) to $\pi_{1}(X)$ is the short exact sequence

$$
1 \rightarrow \zeta \rightarrow \pi_{1}(X) \rightarrow \pi_{1}(\Sigma) \rightarrow 1
$$

The group $\pi_{1}(\Sigma)=F\left(\pi_{1}(X)\right)$ is normal in $F(\Lambda)$ because $\pi_{1}(X)$ is normal in $\Lambda$. Furthermore, the surjection $\Lambda \rightarrow F(\Lambda)$ induces a surjection $D_{4 k}=$ $\Lambda / \pi_{1}(X) \rightarrow F(\Lambda) / \pi_{1}(\Sigma)$.

The quotient map $\widetilde{X} \rightarrow \mathbb{H}^{2}$, which is equivariant with respect to $\Lambda \rightarrow$ $F(\Lambda)$ descends to a map $X=\tilde{X} / \pi_{1}(X) \rightarrow \mathbb{H}^{2} / \pi_{1}(\Sigma)=\Sigma$ that's equivariant with respect to $D_{4 k}=\Lambda / \pi_{1}(X) \rightarrow F(\Lambda) / \pi_{1}(\Sigma)$.

Since $\sigma$ is a realization, the induced action of $\sigma\left(D_{4 k}\right)$ on $\Sigma$ is a realization of the $D_{4 k}<\operatorname{Mod}(\Sigma)$, and in particular the $D_{4 k}$ action on $\Sigma$ is faithful. Therefore, $F(\Lambda) / \pi_{1}(\Sigma) \cong D_{4 k}$, and the monodromy of the associated extension

$$
1 \rightarrow \pi_{1}(\Sigma) \rightarrow F(\Lambda) \rightarrow D_{4 k} \rightarrow 1
$$

is the given inclusion $D_{4 k}<\operatorname{Mod}(\Sigma)$. This concludes the proof that $F(\Lambda)$ is isomorphic to $\pi_{1}^{o r b}(O)$.

To finish the proof of Claim [2.6, it remains to show that the intersection of $\Lambda$ with $\mathbb{R}=\operatorname{ker}(F)$ is $\zeta$. We do this by showing (i) $\Lambda \cap \mathbb{R}$ is the center of
$\Lambda$, and (ii) the center of $\Lambda$ is contained in $\pi_{1}(X)$. Together with the obvious containment $\zeta<\Lambda \cap \mathbb{R}$, (i) and (ii) imply $\Lambda \cap \mathbb{R}=\zeta$.
(i): First note that $\Lambda \cap \mathbb{R}$ is central because $\mathbb{R}$ is central in $\operatorname{Isom}(\widetilde{X})$. On the other hand, the center of $\Lambda$ is contained in $\Lambda \cap \mathbb{R}$ because the center of $\Lambda /(\Lambda \cap \mathbb{R}) \cong \pi_{1}^{o r b}(O)$ has trivial center.
(ii): To show the center of $\Lambda$ is contained in $\pi_{1}(X)$, we show that the center of $\Lambda$ projects trivially to $D_{4 k}=\Lambda / \pi_{1}(X)$. This is true because $\Lambda \rightarrow D_{4 k}$ factors through $\pi_{1}^{\text {orb }}(O)$, which has trivial center.

We summarize the relation between the relevant groups in Diagram (5).


By Claim 2.6, $\Lambda / \zeta \cong \pi_{1}^{\text {orb }}(O)$, so $\rho$ takes the form

$$
\rho: \pi_{1}^{o r b}(O) \rightarrow \operatorname{Isom}^{+}(\widetilde{X}) / \zeta \cong \operatorname{Isom}(\widehat{X})
$$

By construction, this homomorphism is the restriction of $\widehat{\sigma}: \operatorname{Mod}(\Sigma, *) \rightarrow$ $\operatorname{Homeo}(\widehat{X})$ to $\pi_{1}^{\text {orb }}(O)$. Since $\alpha=h^{k / p}$, to show the fixed set of $\widehat{\sigma}(\alpha)$ is a circle, it suffices to show the same statement for $\rho\left(h^{k / p}\right)$. To prove this, we first compute $\operatorname{Isom}(\widehat{X}) \cong \operatorname{Isom}^{+}(\widetilde{X}) / \zeta$.
Claim 2.7. The group $\operatorname{Isom}^{+}(\widetilde{X}) / \zeta$ is isomorphic to $\operatorname{Isom}^{+}\left(\mathbb{H}^{2}\right) \times \mathrm{SO}(2)$.
Proof of Claim 2.7. First note that there is an extension

$$
1 \rightarrow \mathrm{SO}(2) \rightarrow \operatorname{Isom}^{+}(\widetilde{X}) / \zeta \rightarrow \operatorname{Isom}^{+}\left(\mathbb{H}^{2}\right) \rightarrow 1
$$

induced from (3). This sequence is obviously split when $\widetilde{X}=\mathbb{H}^{2} \times \mathbb{R}$ since $\operatorname{Isom}^{+}(\widetilde{X}) \cong \operatorname{Isom}^{+}\left(\mathbb{H}^{2}\right) \times \mathbb{R}$ is a product.

Assume now that $\widetilde{X}=\widetilde{\operatorname{PSL}_{2}(\mathbb{R})}$, and write $e=(2 g-2) n$ where $n$ is a nonzero integer. Let $K$ denote the kernel of the universal cover homomorphism $\mathrm{PSL}_{2}(\mathbb{R}) \rightarrow \mathrm{PSL}_{2}(\mathbb{R})$.

We claim that $\zeta=\frac{1}{n} K$. To see this, note that the extension

$$
1 \rightarrow K \rightarrow \widetilde{\mathrm{PSL}_{2}(\mathbb{R})} \rightarrow \mathrm{PSL}_{2}(\mathbb{R}) \rightarrow 1
$$

pulled back under a Fuchsian representation $\pi_{1}(\Sigma) \rightarrow \mathrm{PSL}_{2}(\mathbb{R})$ induces the extension of the unit tangent bundle group $\pi_{1}(U \Sigma)$, which has Euler number $2-2 g$, and there is an $n$-fold fiberwise cover $U \Sigma \rightarrow X$, so the center of $\pi_{1}(U \Sigma)<\pi_{1}(X)$ is generated by the $n$-the power of the generator of the center of $\pi_{1}(X)$, i.e. $\zeta=\frac{1}{n} K$.

The inclusion of $\mathrm{PSL}_{2}(\mathbb{R})$ in Isom $\left.\left(\widetilde{\mathrm{PSL}_{2}(\mathbb{R}}\right)\right)$ (given by left-multiplication) descends to a homomorphism

$$
\left.\mathrm{PSL}_{2}(\mathbb{R})=\widetilde{\mathrm{PSL}_{2}(\mathbb{R}}\right) / K \rightarrow \operatorname{Isom}\left(\widetilde{\mathrm{PSL}_{2}(\mathbb{R})}\right) / K \rightarrow \operatorname{Isom}\left(\widetilde{\mathrm{PSL}_{2}(\mathbb{R})}\right) / \zeta
$$

that defines a splitting of the sequence

$$
1 \rightarrow \mathrm{SO}(2) \rightarrow \operatorname{Isom}\left(\widetilde{\mathrm{PSL}_{2}(\mathbb{R})}\right) / \zeta \rightarrow \mathrm{PSL}_{2}(\mathbb{R}) \rightarrow 1
$$

The following Claim 2.8 is the last step in the proof of Proposition 2.5.
Claim 2.8. Let $p$ be an odd prime dividing $k$. If $e$ is divisible by $(2 g-2) 2 p$, then the fixed set of $\rho\left(h^{k / p}\right)$ is a circle.

Before proving the claim, we explain how the factors of $\operatorname{Ismm}^{+}\left(\mathbb{H}^{2}\right) \times$ $\mathrm{SO}(2) \cong \operatorname{Isom}^{+}(\widehat{X})$ act on $\widehat{X}=\widetilde{X} / \zeta$.
Remark 2.9. Consider the isomorphism $\operatorname{Isom}(\widehat{X}) \cong \operatorname{Isom}^{+}\left(\mathbb{H}^{2}\right) \times \operatorname{SO}(2)$ from Claim 2.7. In each case $(e=0$ or $e \neq 0)$ the action of $\mathrm{SO}(2)$ on $\widehat{X}$ covers the identity of $\mathbb{H}^{2}$ and acts freely by rotation on the circle fibers of $X \rightarrow \mathbb{H}^{2}$. For the $\operatorname{Isom}^{+}\left(\mathbb{H}^{2}\right)$ action, when $e=0$, then $\widehat{X} \cong \mathbb{H}^{2} \times S^{1}$ is a metric product, and the action of $\operatorname{Isom}^{+}\left(\mathbb{H}^{2}\right)$ is trivial on the $S^{1}$ factor and is the natural action on $\mathbb{H}^{2}$. If $e=(2 g-2) n$ is nonzero, then

$$
\widehat{X} \cong \widetilde{\mathrm{PSL}_{2}(\mathbb{R})} / \zeta \cong \mathrm{PSL}_{2}(\mathbb{R}) /(\mathbb{Z} / n \mathbb{Z})
$$

and with respect to this isomorphism, the action of $\operatorname{Isom}^{+}(\mathbb{H}) \cong \mathrm{PSL}_{2}(\mathbb{R})$ on $\widehat{X}$ is induced from left multiplication of $\mathrm{PSL}_{2}(\mathbb{R})$ on $\mathrm{PSL}_{2}(\mathbb{R})$. Identifying $\operatorname{PSL}_{2}(\mathbb{R})$ with the unit tangent $U \mathbb{H}^{2}$, we can also view $\mathrm{PSL}_{2}(\mathbb{R}) /(\mathbb{Z} / n \mathbb{Z})$ as the quotient of $U \mathbb{H}^{2}$ by the $\mathbb{Z} / n \mathbb{Z}$ action that covers the identity of $\mathbb{H}^{2}$ and rotates each fiber.

Proof of Claim 2.8. Write $e=(2 g-2) 2 p m$ for some integer $m$.
First note that since $\rho(h)$ has finite order, the induced isometry of $\mathbb{H}^{2}$ has a unique fixed point, so $\rho(h)$ preserves a unique circle $C$ of the fibering $\widehat{X} \rightarrow \mathbb{H}^{2}$. The same is true for $\rho\left(h^{k / p}\right)$, and we will show that $\rho\left(h^{k / p}\right)$ acts trivially on $C$.

Since $h=[x, y]$ is a commutator in $\pi_{1}^{o r b}(O)$ and $\mathrm{SO}(2)$ is abelian, we find that the projection

$$
\rho(h) \in \operatorname{Isom}^{+}(\widehat{X}) \cong \operatorname{Isom}^{+}\left(\mathbb{H}^{2}\right) \times \mathrm{SO}(2) \rightarrow \mathrm{SO}(2)
$$

is trivial. Therefore, the action of $\rho(h)$ on $\widehat{X}$ factors through $\operatorname{Isom}^{+}\left(\mathbb{H}^{2}\right)$ acting on $\widehat{X}$. This action is described in Remark 2.9. If $e=0$, since Isom ${ }^{+}\left(\mathbb{H}^{2}\right)$ acts trivially on the $S^{1}$ factor of $\widehat{X} \cong \mathbb{H}^{2} \times S^{1}$, we conclude that
$\rho(h)$ acts trivially on $C$. If $e \neq 0$, then $\rho(h)$ acts as a a rotation by $2 \pi(p m / k)$ on $C$, so $\rho\left(h^{k / p}\right)$ acts as a rotation by $2 \pi m$, which is trivial.

This completes the proof of Proposition 2.5 .

Homeomorphism case. Here prove Proposition 2.3 .
Proof of Proposition 2.3. By Pardon [Par21, Thm. 1.1], there is a sequence of smooth $D_{4 k}$ actions converging in $\operatorname{Hom}\left(D_{4 k}, \operatorname{Homeo}(X)\right)$ to the given action of $\sigma\left(D_{4 k}\right)$ on $X$. Sufficiently close approximates also give a splitting of $\pi$ over $D_{4 k}<\operatorname{Mod}(\Sigma)$ because $\operatorname{Homeo}(X)$ is locally path connected [EK71].

For each of the smooth approximations of $\sigma\left(D_{4 k}\right)$, the fixed set of $a^{2 k / p}$ is nonempty by Proposition 2.5. This implies that $\sigma\left(a^{2 k / p}\right)$ has a fixed point (a sequence of fixed points, one for each smooth action, sub-converges to a fixed point of the $\sigma\left(a^{2 k / p}\right)$ action). By Remark 2.2, there exists a lift of $a^{2 k / p} \in D_{4 k}<\operatorname{Mod}(\Sigma)$ to a finite order element $\alpha^{\prime} \in \pi_{1}^{o r b}(O)<\operatorname{Mod}(\Sigma, *)$ so that $\widehat{\sigma}\left(\alpha^{\prime}\right)$ has a fixed point. Since $\pi_{1}^{o r b}(O)$ has a unique conjugacy class of finite subgroup of order $2 p$, the subgroups $\left\langle\alpha^{\prime}\right\rangle$ and $\langle\alpha\rangle$ are conjugate, so the fixed set of $\widehat{\sigma}(\alpha)$ is nonempty.

It remains to show the fixed set of $\widehat{\sigma}(\alpha)$ is a circle, and that this circle is the same as the fixed sets of $\widehat{\sigma}(\alpha)^{2}$ and $\widehat{\sigma}(\alpha)^{p}$.

First we show (using Smith theory) that both $\widehat{\sigma}(\alpha)^{2}$ and $\widehat{\sigma}(\alpha)^{p}$ have fixed set a single circle (we are not yet claiming/arguing that the fixed sets of $\widehat{\sigma}(\alpha)^{2}$ and $\widehat{\sigma}(\alpha)^{p}$ are the same). To see this, we focus on $\widehat{\sigma}(\alpha)^{2}$ for concreteness. Consider the group $\Lambda_{0}$ of all lifts of powers of $\widehat{\sigma}(\alpha)^{2}$ to the universal cover $\widetilde{X}$. This group is an extension

$$
1 \rightarrow \mathbb{Z} \rightarrow \Lambda_{0} \rightarrow \mathbb{Z} / p \mathbb{Z} \rightarrow 1
$$

which is central and split; hence $\Lambda_{0} \cong \mathbb{Z} \times \mathbb{Z} / p \mathbb{Z}$. It is central because $\alpha$ acts orientation-preservingly on fibers of $X \rightarrow \Sigma$ (otherwise, the action of $\alpha$ on $\Sigma$ would reverse orientation, contrary to the construction); it splits because $\widehat{\sigma}(\alpha)$ has a fixed point. The (lifted) action of $\widehat{\sigma}(\alpha)^{2}$ on $\widetilde{X}$ has fixed set a line (i.e. embedded copy of $\mathbb{R}$ ) by Smith theory and local Smith theory [Bre12, Theorem 20.1], and this line is preserved and acted properly by $\mathbb{Z}<\Lambda_{0}$; thus $\widehat{\sigma}(\alpha)^{2}$ acts on $\widehat{X}$ with a circle in its fixed set. Furthermore, each component of the fixed set of $\widehat{\sigma}(\alpha)^{2}$ acting on $\widehat{X}$ corresponds to a distinct conjugacy class of order- $p$ subgroup of $\Lambda_{0}$. Since there is only one $\mathbb{Z} / p \mathbb{Z}$ subgroup of $\Lambda_{0}$, the fixed set of $\widehat{\sigma}(\alpha)^{2}$ is connected, i.e. a single circle. The same argument ${ }^{1}$ works for $\widehat{\sigma}(\alpha)^{p}$.

Now we determine the fixed set of $\widehat{\sigma}(\alpha)$. First observe that $\widehat{\sigma}(\alpha)$ preserves the fixed set of $\widehat{\sigma}(\alpha)^{2}$ and has a fixed point there (the fixed set of $\widehat{\sigma}(\alpha)$ is nonempty and contained in the fixed set of $\left.\widehat{\sigma}(\alpha)^{2}\right)$. The only $\mathbb{Z} / 2 p \mathbb{Z}$ action on the circle with a fixed point is the trivial action, so in fact the fixed sets

[^1]of $\widehat{\sigma}(\alpha)$ and $\widehat{\sigma}(\alpha)^{2}$ are the same. The same argument applies to $\widehat{\sigma}(\alpha)$ and $\widehat{\sigma}(\alpha)^{p}$. This proves Proposition 2.3 .
2.3. Step 3: centralizer argument. Recall that we have defined $\alpha$ as an element of order $2 p$ in $\pi_{1}^{\text {orb }}(O)<\operatorname{Mod}(\Sigma, *)$. In this step we prove that $\operatorname{Mod}(\Sigma, *)$ is generated by the centralizers of $\alpha^{2}$ and $\alpha^{p}$.

Proposition 2.10 (centralizer property). Let $\alpha \in \operatorname{Mod}(\Sigma, *)$ be the element of order $2 p$ constructed above. Then

$$
\operatorname{Mod}(\Sigma, *)=\left\langle C\left(\alpha^{2}\right), C\left(\alpha^{p}\right)\right\rangle,
$$

where $C(-)$ denotes the centralizer in $\operatorname{Mod}(\Sigma, *)$.

Strategy for proving Proposition 2.10. Set $\Gamma=\left\langle C\left(\alpha^{2}\right), C\left(\alpha^{p}\right)\right\rangle$. Our method for showing $\Gamma=\operatorname{Mod}(\Sigma, *)$, which is similar to the proof of CS22, Thm. 1.1], is to inductively build subsurfaces

$$
\begin{equation*}
S_{0} \subset S_{1} \subset \cdots \subset S_{N} \subset \Sigma \backslash\{*\} \tag{6}
\end{equation*}
$$

such that $\operatorname{Mod}\left(S_{n}\right) \subset \Gamma$ for each $n$ and $S_{N}$ fills $\Sigma \backslash\{*\}$ (i.e. each boundary component of $S_{N}$ is inessential in $\left.\Sigma \backslash\{*\}\right)$. The fact that $S_{N}$ fills implies that $\operatorname{Mod}\left(S_{N}\right)=\operatorname{Mod}(\Sigma, *)$, so then $\operatorname{Mod}(\Sigma, *) \subset \Gamma$ by the last step in the inductive argument.

In order to ensure that $\operatorname{Mod}\left(S_{n}\right) \subset \Gamma$, the subsurface $S_{n}$ is obtained from $S_{n-1}$ by an operation known as subsurface stabilization. If $S \subset \Sigma$ is a subsurface and $c \subset \Sigma$ is a simple closed curve that intersects $S$ in a single arc, then the stabilization of $S$ along $c$ is the subsurface $S \cup N(c)$, where $N(c)$ is a regular neighborhood of $c$. It is easy to show that $\operatorname{Mod}(S \cup N(c))$ is generated by $\operatorname{Mod}(S)$ and the Dehn twist $\tau_{c}$ CS22, Lem. 4.2], so if $\operatorname{Mod}(S) \subset \Gamma$ and $\tau_{c} \in \Gamma$, then $\operatorname{Mod}(S \cup N(c)) \subset \Gamma$. Therefore, for the proof, it suffices to find a sequence of subsurface stabilizations along curves whose Dehn twist belongs to $\Gamma=\left\langle C\left(\alpha^{2}\right), C\left(\alpha^{p}\right)\right\rangle$.

Model for the $\alpha$ action. Our proof of Proposition 2.10 makes use of an explicit model for $\Sigma$ with its $\alpha$ action, which is pictured below in the case $k=6$ and $p=3$ (recall that $g=4 k-1$ and $p$ is an odd prime dividing $k$ ).

The surface $\Sigma$ is built out of two copies of the standard action of $\mathbb{Z} / 2 p \mathbb{Z}$ on $S^{2}$ and one copy of a free action of $\mathbb{Z} / 2 p \mathbb{Z}$ on $T^{2}$. We glue each copy of $S^{2}$ to $T^{2}$ along $k / p$ free orbits by an equivariant connected sum. In the figure, $\alpha$ acts by vertical translation. Note that the fixed points of $\alpha$ on $S^{2}$ are not pictured in the figure - they are at $\pm \infty$ along the $x$-axis.

To derive this model, recall that $D_{4 k}=\left\langle a, b \mid a^{4 k}=1=b^{2}, b a b=a^{-1}\right\rangle$ has abelianization $D_{4 k} \rightarrow(\mathbb{Z} / 2 \mathbb{Z})^{2}$ with kernel $\left\langle a^{2}\right\rangle \cong \mathbb{Z} / 2 k \mathbb{Z}$. Then there is a sequence of regular covers

$$
\Sigma \xrightarrow{\left\langle a^{2}\right\rangle} \Sigma /\left\langle a^{2}\right\rangle \xrightarrow{(\mathbb{Z} / 2 \mathbb{Z})^{2}} \Sigma / D_{4 k} .
$$



Figure 1. The model of the surface $\Sigma_{23}$ where $p=3$.
The cover $\Sigma /\left\langle a^{2}\right\rangle \rightarrow \Sigma / D_{4 k}$ is unbranched and is the $\mathbb{Z} / 2 \mathbb{Z}$-homology cover of $T^{2}$ (in particular, $\Sigma /\left\langle a^{2}\right\rangle$ is also a torus). The cover $\Sigma \rightarrow \Sigma /\left\langle a^{2}\right\rangle$ is branched over four points; the local monodromy around the branched points is $a^{2}$ at two of the branched points and $a^{-2}$ at the other two. Choosing branched cuts joining branched points in $a^{ \pm 2}$ pairs gives a model for $\Sigma$, and one can check that this model is equivalent to the one described above. (The spheres in Figure 1 arise from pre-images under $\Sigma \rightarrow \Sigma /\left\langle a^{2}\right\rangle$ of neighborhoods of the branch cuts.)

By Remark 2.2, since $\sigma\left(a^{2 k / p}\right)$ has a fixed point, the subgroup $\langle\alpha\rangle \subset$ $\operatorname{Mod}(\Sigma, *)$, which lifts $\left\langle a^{2 k / p}\right\rangle$, has a fixed point. The different lifts of $\left\langle a^{2 k / p}\right\rangle$ to a finite subgroup of $\operatorname{Mod}(\Sigma, *)$ are in one-to-one correspondence to fixed points of $a^{2 k / p}$. Since these fixed points are permuted transitively by the action of $D_{4 k}$, the different lifts of $\left\langle a^{2 k / p}\right\rangle$ are conjugate. Consequently, for the purpose of our argument, we can choose $*$ to be any one of the four fixed points of $\alpha$ and prove Proposition 2.10 for this choice, without loss of generality. (It will also be evident from the argument that a similar argument applies if $*$ is changed to another fixed point.)
Remark 2.11. We do not known how generally the relation $\operatorname{Mod}(\Sigma, *)=$ $\left\langle C\left(\alpha^{2}\right), C\left(\alpha^{p}\right)\right\rangle$ holds. For example, it may hold for every $\mathbb{Z} / 2 p \mathbb{Z}$ subgroup of $\operatorname{Mod}(\Sigma, *)$. We do not know a general (abstract) approach to this problem.
Proof of Proposition 2.10.
Symmetry breaking. In preparation for constructing a sequence of subsurface stabilizations, in this paragraph we find a suitable collection of Dehn twists that belong to $\Gamma$. The obvious way for $\tau_{c}$ to belong to $\Gamma$ is if $c$ is preserved by either $\alpha^{2}$ or $\alpha^{p}$. More generally, we use a process that we call symmetry breaking to show $\tau_{c} \in \Gamma$ for certain $c$. We formulate this in the following lemma, which is similar to [CS22, Lem. 3.2].
Lemma 2.12 (Symmetry breaking). Assume that $c, d \subset \Sigma$ are simple closed curves that intersect once and $\tau_{d} \in \Gamma$. Suppose that either (i) $\alpha^{p}(c)$ is
disjoint from $c$ and $d$ or (ii) the curves $\alpha^{2}(c), \alpha^{4}(c) \ldots, \alpha^{2 p-2}(c)$ are disjoint from $d$ and the curves $c, \alpha^{2}(c), \alpha^{4}(c) \ldots, \alpha^{2 p-2}(c)$ are pairwise disjoint. Then $\tau_{c} \in \Gamma$.

Proof of Lemma 2.12. We prove case (i) of the statement; case (ii) is similar. Since Dehn twists about disjoint curves commute,

$$
\left(\tau_{c} \tau_{\alpha^{p}(c)}\right) \tau_{d}\left(\tau_{c} \tau_{\alpha^{p}(c)}\right)^{-1}=\tau_{c} \tau_{d} \tau_{c}^{-1}
$$

The left hand side of the equation is in $\Gamma$ because $\tau_{d} \in \Gamma$ by assumption and $\tau_{c} \tau_{\alpha^{p}(c)} \in \Gamma$ because the curves $c, \alpha^{p}(c)$ are permuted by $\alpha^{p}$ and are disjoint (so their twists commute), and thus $\tau_{c} \tau_{\alpha^{p}(c)} \in C\left(\alpha^{p}\right) \subset \Gamma$. Since $c$ and $d$ intersect once, the braid relation implies that $\tau_{d} \tau_{c} \tau_{d}^{-1}=\tau_{c} \tau_{d} \tau_{c}^{-1}$ also belongs to $\Gamma$. Since $\tau_{d} \in \Gamma$ this implies that $\tau_{c} \in \Gamma$, as desired.

Remark 2.13. When applying Lemma 2.12 (i) or (ii) we refer to it as the $\alpha^{p}$ - or $\alpha^{2}$-symmetry breaking, respectively.

Lemma 2.14. Dehn twists about the curves in Figure 2 are in $\Gamma$.


Figure 2. The curves used in the proof of Lemma 2.14 . Here we are using the model for $\Sigma_{23}$, but the proof follows in the same way for similar types of curves on any $\Sigma$.

In Figure 2, we illustrate the case $k=6, p=3$. The corresponding curves in the general case belong to $\Gamma$ by the exact same argument.

Proof of Lemma 2.14. First observe that $\tau_{c_{1}}$ and $\tau_{c_{6}}$ are in $\Gamma$ because each is invariant under $\alpha^{p}$. We deduce that $\tau_{c_{2}} \in \Gamma$ using $\alpha^{2}$-symmetry breaking with $d=c_{1}$. Each of $\tau_{c_{3}}$ and $\tau_{c_{4}}$ are in $\Gamma$ by $\alpha^{p}$-symmetry breaking with $d=c_{2}$. Finally, both $\tau_{c_{5}}$ and $\tau_{c_{7}}$ are in $\Gamma$ by $\alpha^{p}$-symmetry breaking with $d=c_{3}$.

Surface stabilization sequence. We stabilize with the sequence of curves represented in Figure 3. To get the initial subsurface $S_{0}$ we can take the subsurface spanned by the chain of curves $c_{0}, c_{1}, \ldots, c_{4}$. This subsurface has genus 2 and one boundary component, and these curves are Humphries generators for $S_{0}$ [FM12, Fig. 4.10]. Next we extend this chain with the curves $c_{5}, \ldots, c_{4 k-1}$; at this point the left genus-0 subsurface has been filled. Next we stabilize with $c_{4 k}$ and the curves $\left(c_{4 k+1}, c_{4 k+2}, \cdots, c_{8 k-2}\right)$ that fill the right genus- 0 subsurface; there is some choice in the order of curves we stabilize, but this is not important. Finally we stabilize with the curves $c_{8 k-1}$ and $c_{8 k}$ that generate $\pi_{1}\left(T^{2}\right)$.

All of the twists about the curves used are in $\Gamma$. In each case, this can be seen either directly from the statement of Lemma 2.14 or by an argument that is a small variation of its proof. Since this collection of curves fills $\Sigma$, we have shown that $\Gamma=\operatorname{Mod}(\Sigma, *)$. This proves Proposition 2.10.


Figure 3. The stabilization sequence we use for the case $k=6$ and $p=3$.
2.4. Step 4: conclusion. By Proposition 2.3, $\widehat{\sigma}(\alpha), \widehat{\sigma}\left(\alpha^{2}\right)$ and $\widehat{\sigma}\left(\alpha^{p}\right)$ all have the same fixed set, which is a circle $c \subset \widehat{X}$. The centralizers $C\left(\alpha^{2}\right)$ and $C\left(\alpha^{p}\right)$ preserve $c$. By Proposition 2.10, $\operatorname{Mod}(\Sigma, *)=\left\langle C\left(\alpha^{2}\right), C\left(\alpha^{p}\right)\right\rangle$, so $\widehat{\sigma}(\operatorname{Mod}(\Sigma, *))$ preserves $c$. This contradicts the fact that $\widehat{\sigma}\left(\pi_{1}\left(\Sigma_{g}\right)\right)$ acts as the deck group, which as a properly discontinuous action does not preserve any compact set.

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[^0]:    Date: November 26, 2023.

[^1]:    ${ }^{1}$ Smith theory applies to prime-order finite cyclic group actions, so we cannot apply this argument directly to $\widehat{\sigma}(\alpha)$.

