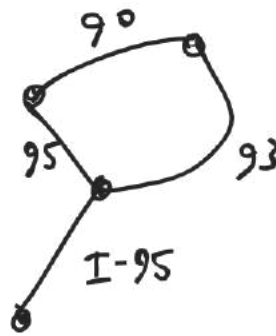


Graphs

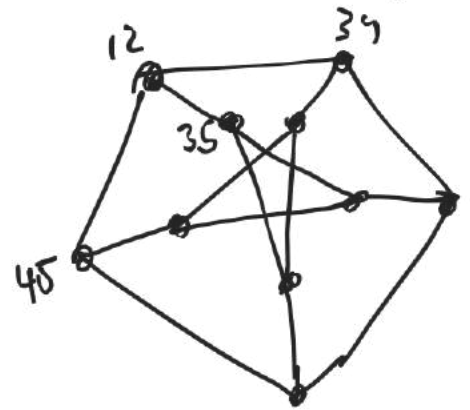
Graphs express relationships between things



family tree



routes
PVD ↔ BOS



2-element subsets of $\{1, \dots, 5\}$
connected by disjointness
Petercen graph

Formal definition A graph G is pair

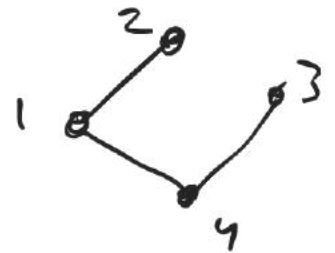
(V, E) where V is a set and

$E \subset \{2\text{-element subsets of } V\}$

(V : vertices)
(E : edges)

Ex $V = \{1, 2, 3, 4\}$ $E = \{\{1, 2\}, \{1, 4\}, \{3, 4\}\}$

often just draw pictures



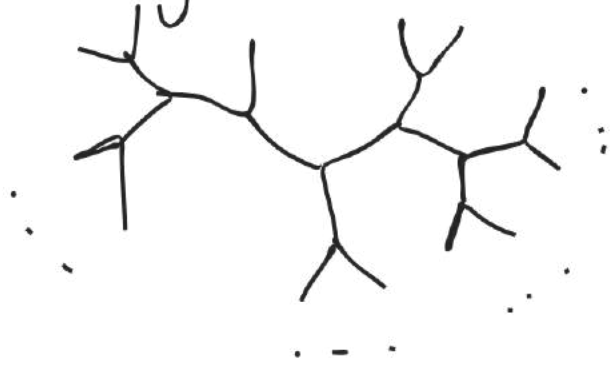
Ranks

1. Our defn excludes



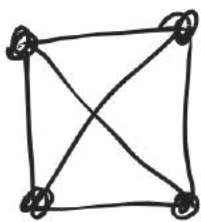
NB:
different
from
West!

2. Only consider G with V finite

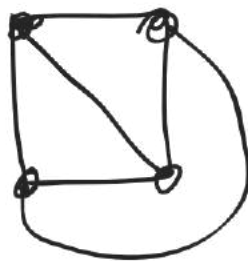


infinite 3-regular
graph

3. some graphs can be drawn in plane,
some not.



=



Petersen
graph not
planar.

4. A graph can have multiple components

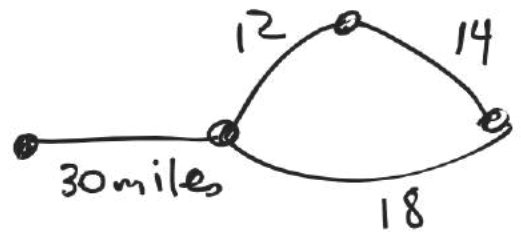


5. Variations: (not our main focus)

- directed graphs



- weighted graphs

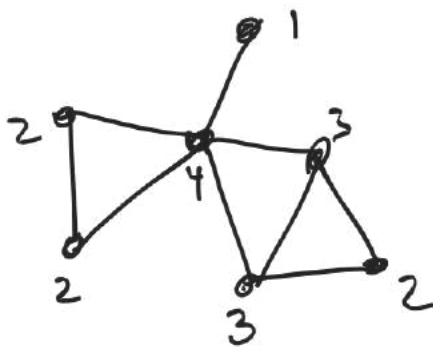


(Next: basic terminology)

Vertex degrees

For $v \in V$, $e \in E$ if $v \in e$ say v, e are incident. Define $\deg(v) = \#$ edges incident to v .

Ex



Q: Does there exist graph with

- 5 vertices each with degree 2
- 5 vertices each w/ degree 3

Lemma For $G = (V, E)$, $\sum_{v \in V} \deg(v) = 2|E|$.

Cor In a graph the number of vertices w/ odd degree is even.

$\Rightarrow \nexists$ 5 vertex 3-regular graph.

Proof of Lemma

Counting each vertex degree counts each edge twice.

More precisely, consider

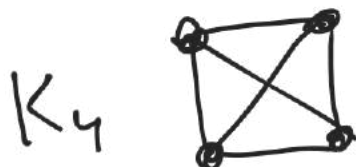
$$\sum_{v \in V} \deg(v) = \sum_{\substack{(v,e) \in V \times E \\ \text{incident pair}}} 1 = \sum_{e \in E} 2 = 2|E|.$$

□

Ex the complete graph K_n

has vertices = $\{1, \dots, n\}$

edges = all pairs $\{i, j\}$



In K_n , $\deg(v) = n-1$ for each v

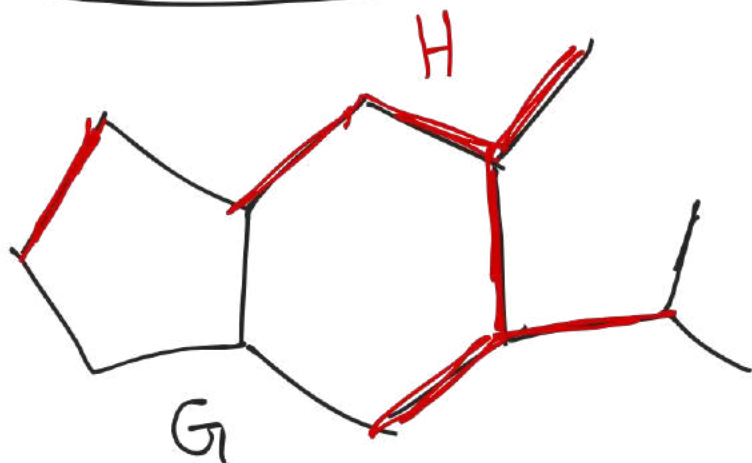
$|E| = \binom{n}{2} = \#$ 2-element subsets of $\{1, \dots, n\}$

Lemma $\Rightarrow n(n-1) = 2 \binom{n}{2}$.

Subgraphs

H is a subgraph of G .

$V(H) \subseteq V(G)$, $E(H) \subseteq E(G)$



$K = \square$ is not a subgraph of G .

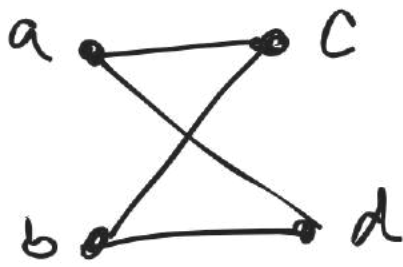
Isomorphism

graphs G_1, G_2 are isomorphic if

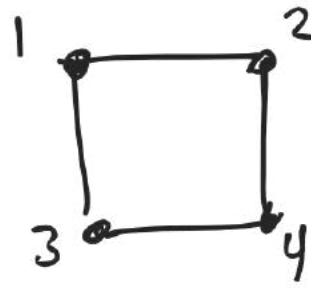
\exists bijection $V(G_1) \xrightarrow{\varphi} V(G_2)$ so that

$\{u, v\} \in E(G_1) \iff \{\varphi u, \varphi v\} \in E(G_2)$

Ex

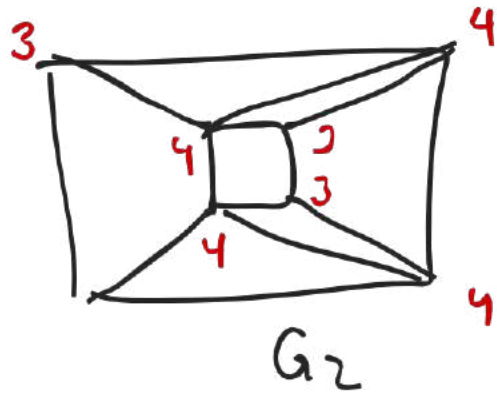
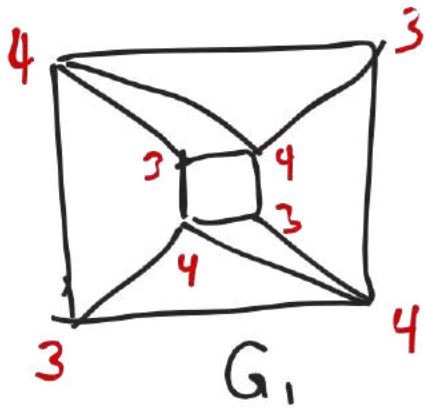


\cong



$\varphi: a \mapsto 1, c \mapsto 2, b \mapsto 4, d \mapsto 3$

Ex

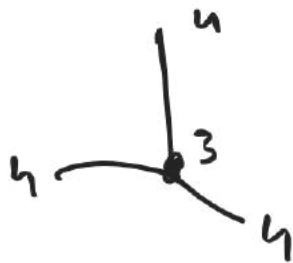


are G_1, G_2 isomorphic?

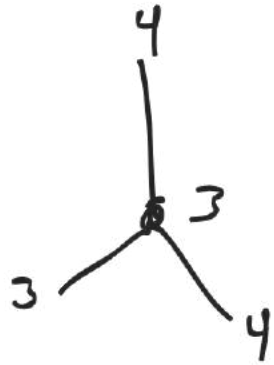
Isomorphism problem hard in general

Look at vertex degrees

in G_1

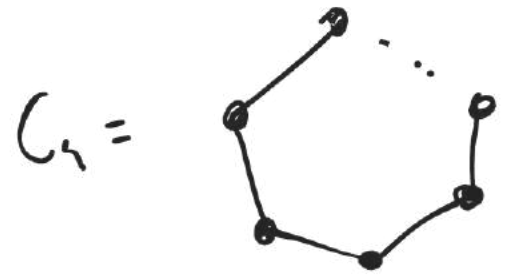


in G_2

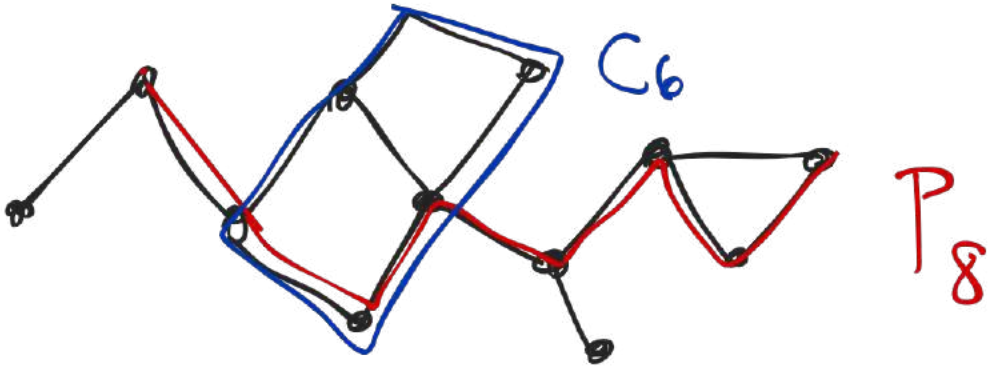


$\Rightarrow G_1 \not\cong G_2$

Some special subgraphs

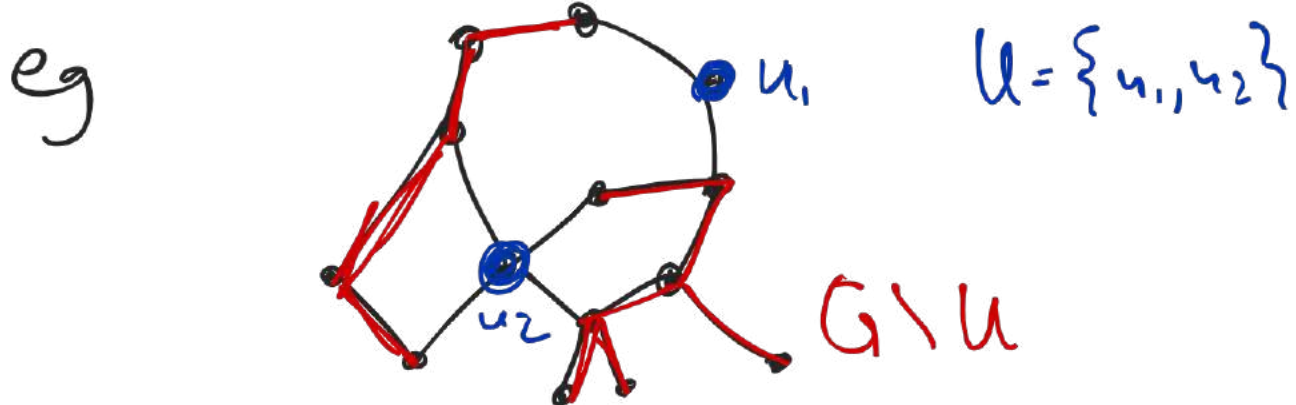


① A subgraph iso to P_n / C_n is called a path / cycle.



② Given $G = (V, E)$ and $U \subset V$

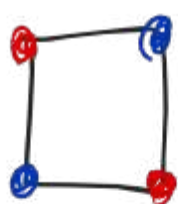
define $G \setminus U$ graph w/ vertices: $V \setminus U$
and edges: $e \in E$ between vertices in $V \setminus U$



Bipartite graphs

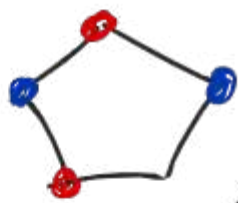
$G=(V,E)$ is bipartite if its possible to color vertices red/blue so there are no monochromatic edges.

ex



C_4

non ex

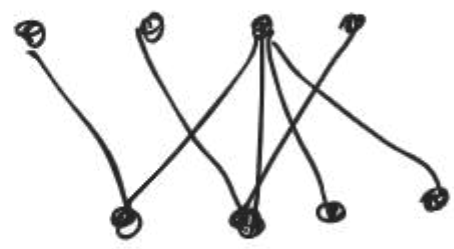


C_5

ex

people

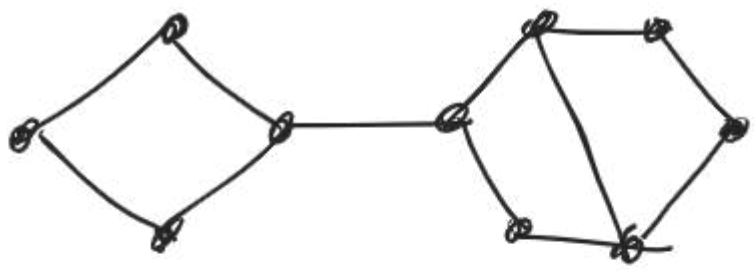
jobs



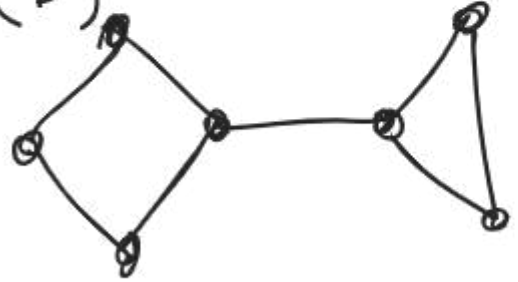
Exercise which of the following are

bipartite?

(A)



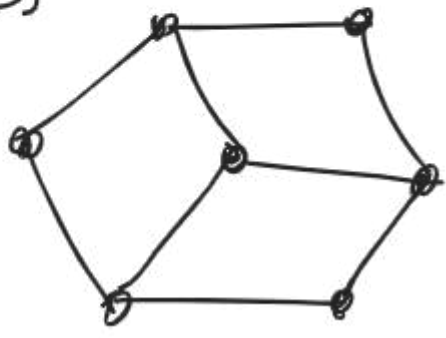
(B)



(C)



(D)



• What went wrong in B, C?

B, C have cycles of odd length.

$$C_{2k+1}$$

Observation C_{2k+1} is not bipartite

and so a graph containing C_{2k+1} as a subgraph is also not bipartite.

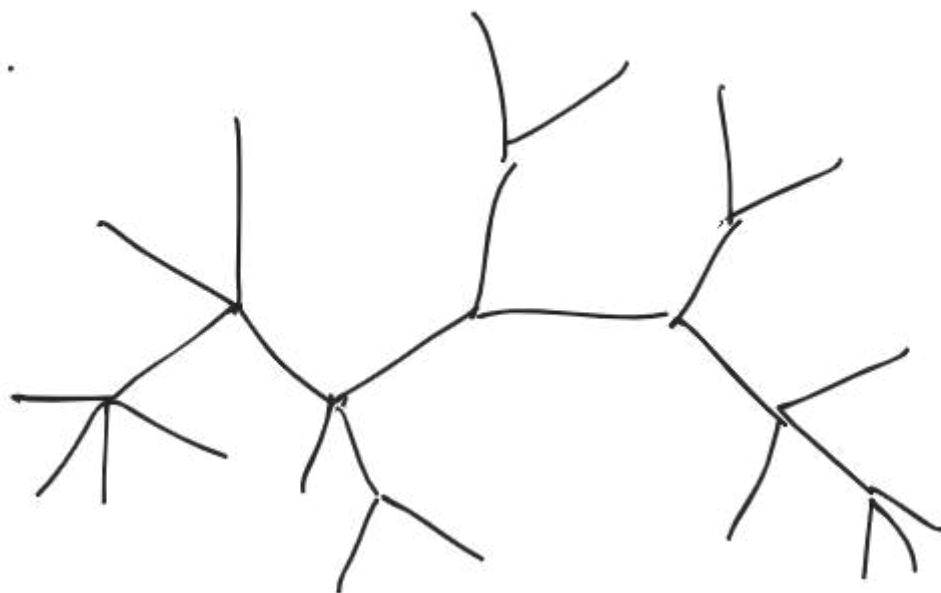
• What if G has no odd cycle?

Thm G bipartite \Leftrightarrow

G does not contain any odd cycle

Eg.

G



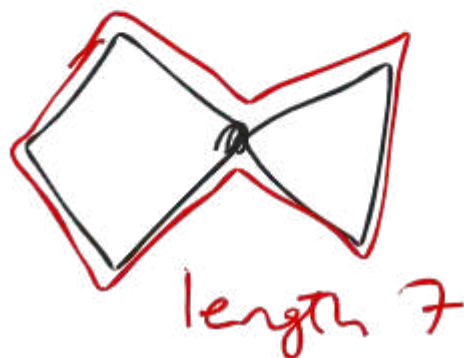
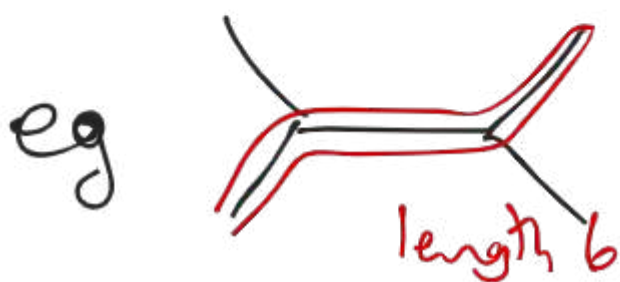
is bipartite
(no cycles
at all!)

A walk in $G = (V, E)$ is sequence
 v_1, \dots, v_m with $v_i \in V$ and
 $\{v_i, v_{i+1}\} \in E \quad i=1, \dots, m-1$

A closed walk is a walk with $v_1 = v_m$

The length of a walk is the # of edges
 $m-1$


Here edges/vertices may repeat.



Lemma A closed walk of
odd length contains an odd cycle.

Proof. Let w be closed walk,
length $2l+1$.

induct on l .

Base case ($l=1$) 

v_1, v_2, v_3 distinct since G has no self loops

$\Rightarrow w$ is a cycle C_3 .

Induction step Fix w length $2l+1$.

Assume odd walk of len $< 2l+1$ has odd cycle.

Case 1 vertices of w don't repeat

$\Rightarrow w$ is odd cycle.

Case 2 some vertex repeats.

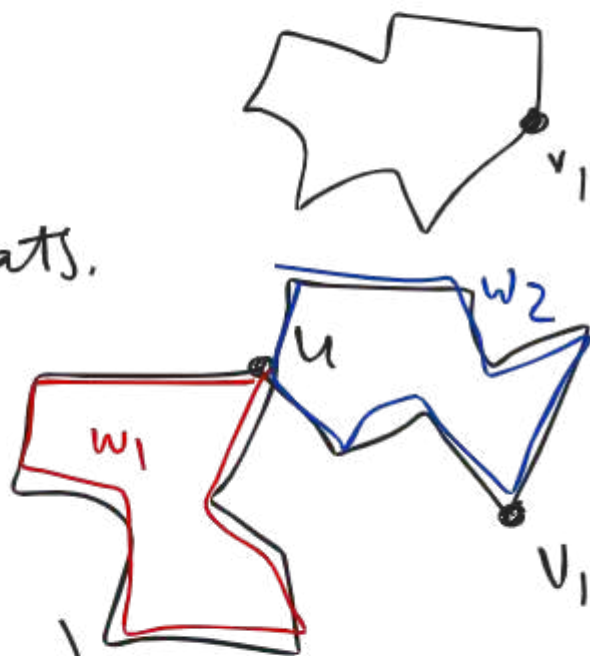
Extract closed walks

w_1, w_2

$$\text{len}(w_1) + \text{len}(w_2) = \text{len}(w)$$

one of $\text{len}(w_i)$ odd. \Rightarrow that

w_i has an odd cycle by induction \square

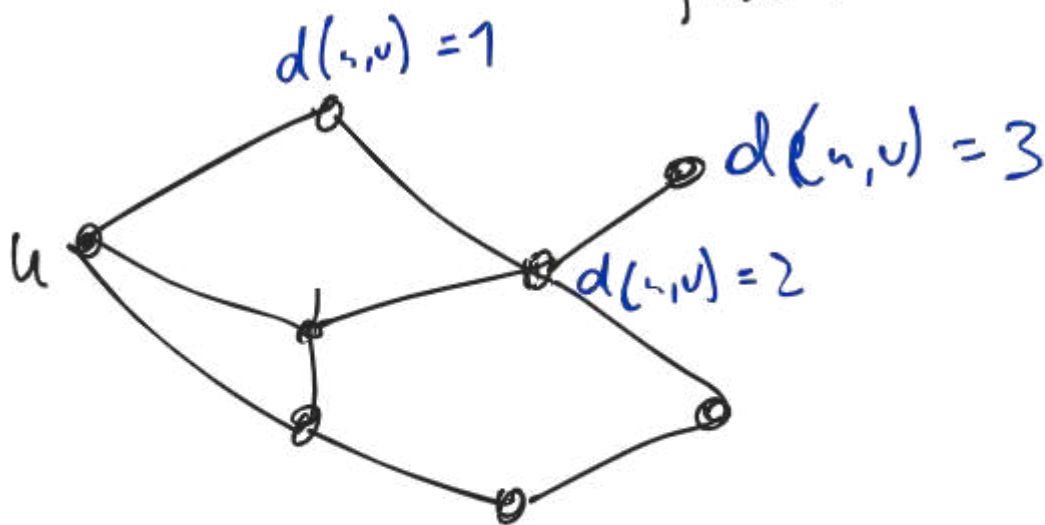


Proof (\Rightarrow) observed above

(\Leftarrow) We find a coloring:

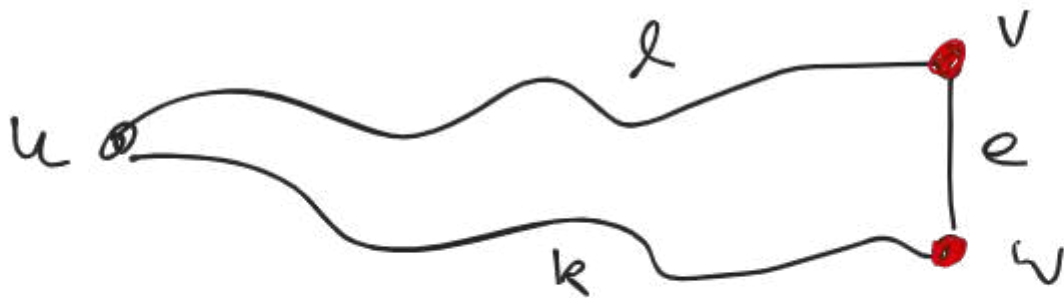
Fix $u \in V$ and for $v \in V$ define

$d(u, v)$ = length of shortest path
from u to v .



color v red/blue if $d(u, v)$ even/odd.

If $e \in E$ monochromatic



v, w monochromatic $\Rightarrow l, k$ both even
or both odd

$\Rightarrow l+k+1$ odd $\stackrel{\text{(lemma)}}{\Rightarrow}$ G has odd cycle \times

Then \nexists monochromatic edge \square

Remark It's possible G wasn't connected - apply above to each component.

Remark (TONCAS)

Given property P of graphs (eg bipartite) may ask if G has P .

Often there is an "obvious" necessary condition ($G \text{ bipartite} \Rightarrow$ no odd cycle) and we'll

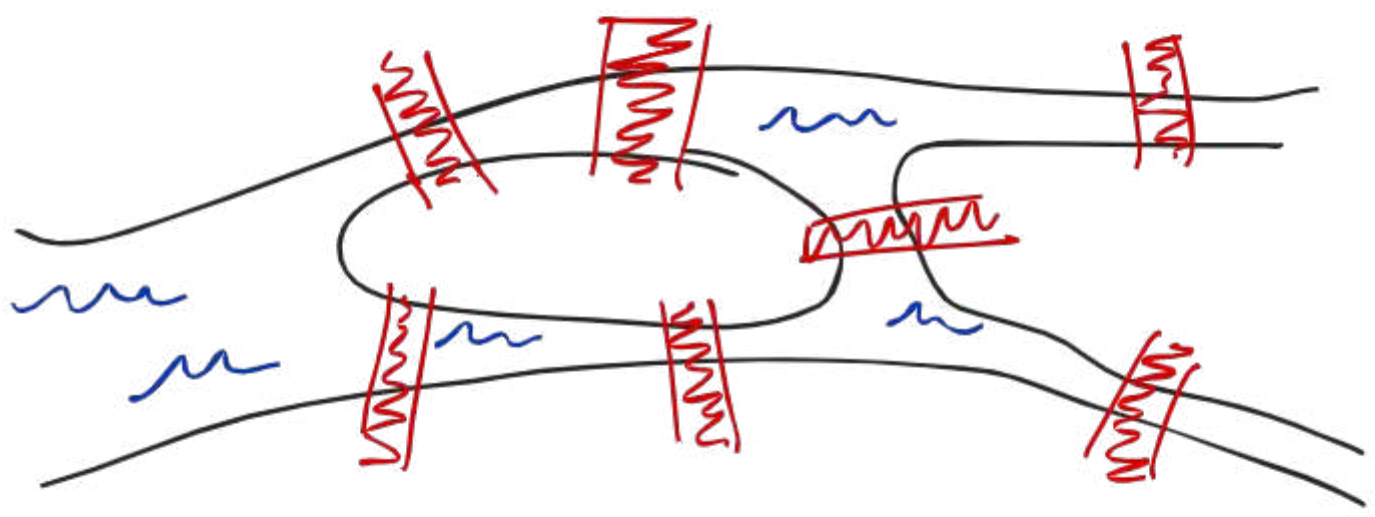
show this is also sufficient

(G has no odd cycle $\Rightarrow G$ bipartite)

TONCAS = "The Obvious Necessary Condition is Also Sufficient"

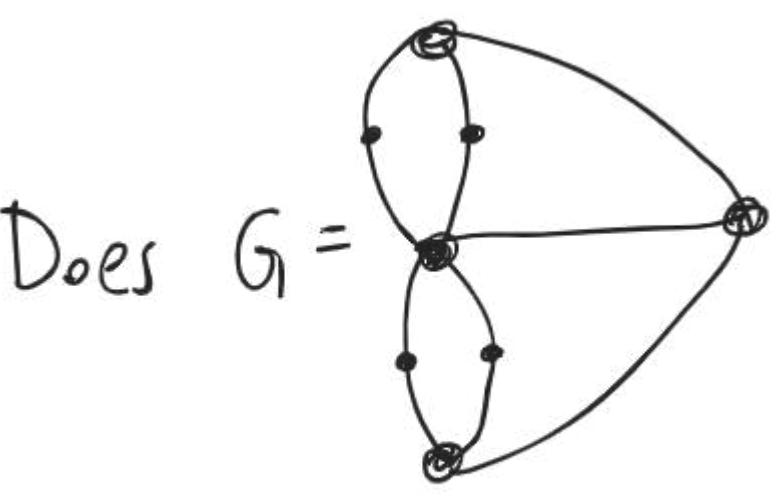
Eulerian Graphs

Bridges of Königsberg



Q: (Euler) Is it possible to cross every bridge exactly once?

Convert to graph theory:



(experiment)

have a closed walk that contains each edge exactly once?

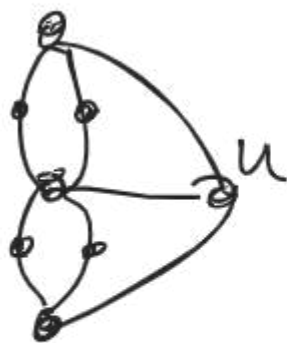
Defn An Euler tour on G

is a closed walk that visits each edge exactly once.

Assume G connected (one component)

Say G Eulerian if G has Euler tour.

Warm-up

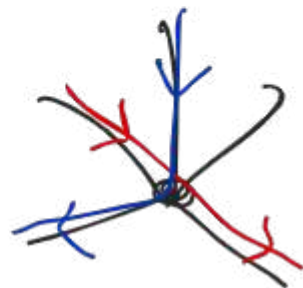


not Eulerian

b/c G has vertex u of odd degree.

In an Euler tour every edge into a u is followed by edge out


Edges don't repeat so need even # incident to each vertex.



TONC G Eulerian $\Rightarrow \deg(v)$ even $\forall v \in V$.

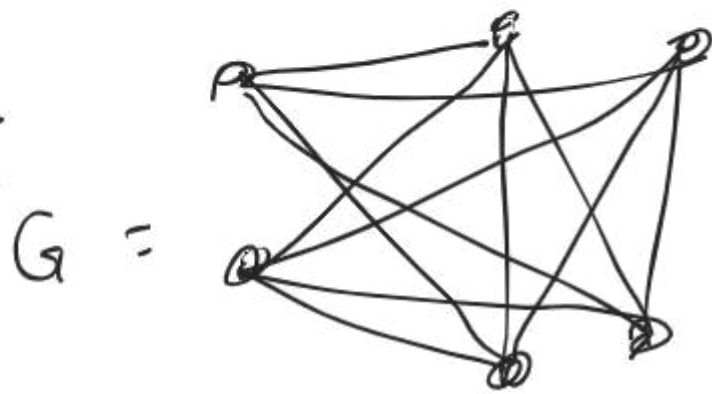
Thm TONCAS, If G connected.

G Eulerian $\Leftrightarrow \deg(v)$ even $\forall v \in V$

Ex. $P_4 =$  $\deg=1$

not Eulerian remember Euler tours
are closed walks.

Ex



Eulerian

b/c G is
4-regular

in practice not hard to find

Euler tour: start & keep going.

even vertex degree ensures can't

get stuck. (illustrate)

Proof Suppose G has even vertex degrees

Induct on $|E|$.

Base case $|E|=0 \Rightarrow G = \bullet$

Then true trivially.

Induction Step Assume true for graphs with $< |E|$ edges.

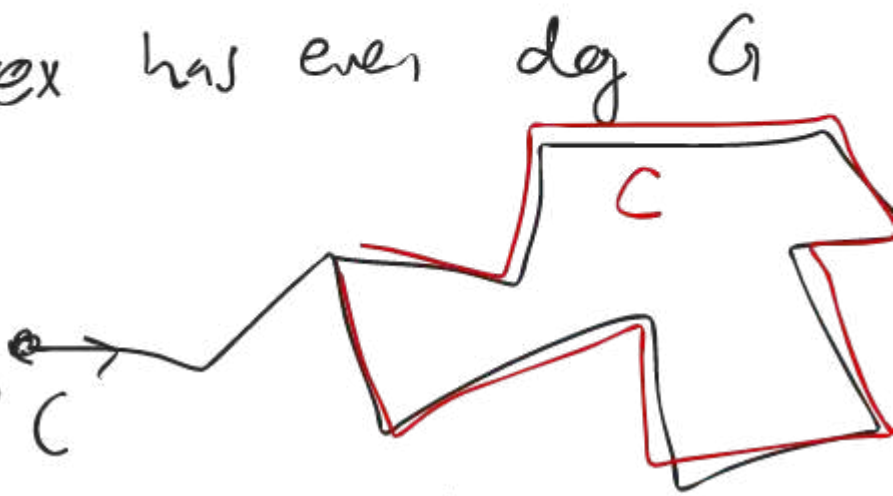
Since every vertex has even deg G contains a cycle

Let $F =$ edges of C

consider $G \setminus F = (V, E \setminus F)$

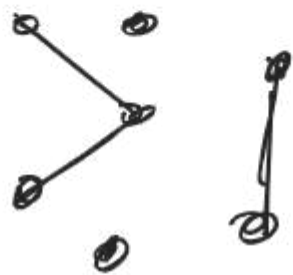
observe $G \setminus F$ has even vertex degrees

IH $\Rightarrow G \setminus F$ has Euler tour. Combine with
to get Euler tour □

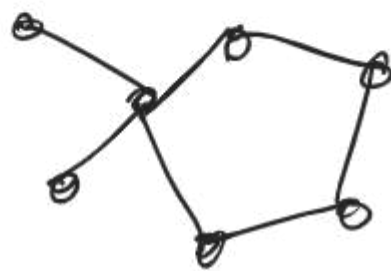


Connected graphs

A graph is connected if any two vertices are joined by a path.



disconnected



connected

Q: Suppose G connected and has n vertices. What is the fewest # edges G can have? Is the minimizer unique?

(Experiment)

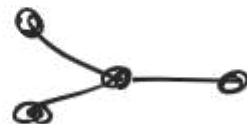
$n=1$



$n=3$



$n=2$



Guess $n-1$ edges.

Indeed start with n disjoint vertices
observe each edge added
decreases # comp by at most 1.
So need $n-1$ edges to get connected graph.



Defn A connected graph with $|V|=n$
 $|E|=n-1$
is called a tree.

Facts about trees

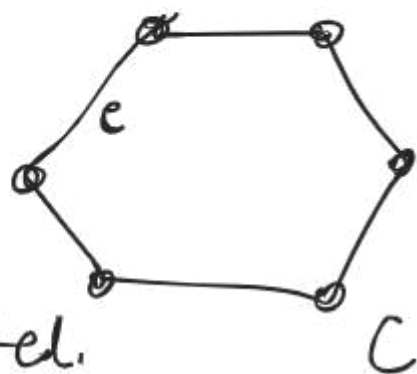
- (1) G tree $\Rightarrow G \setminus e$ disconnected
for each $e \in E$
(by above.)
- (2) G tree $\Rightarrow G$ has no cycle.

Prove contrapositive:

Suppose \exists cycle $C \subset G$. and edge e of C .

To show G not tree

suffices to show $G \setminus e$ connected.



Fix $u, v \in V(G)$. WTS \exists path in $G \setminus e$ between u, v

G connected. $\Rightarrow \exists$ path P in G u to v .



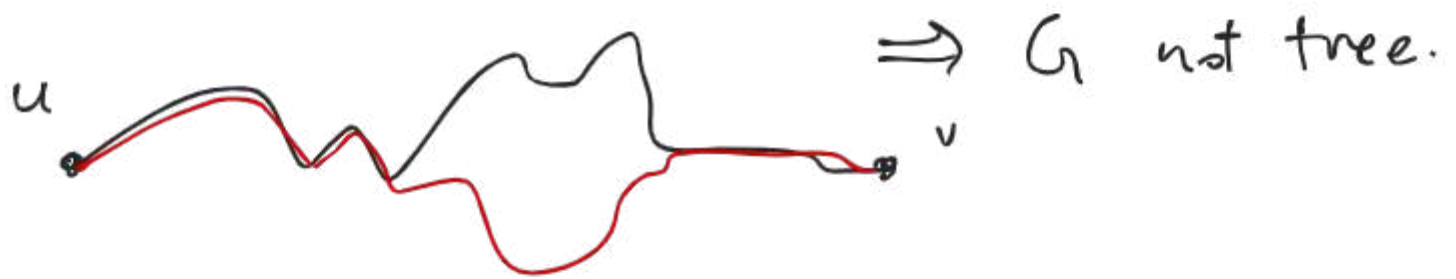
Let w_1, w_2 first/last vertices of $P \cap C$

\exists path in $C \setminus e$ w_1 to w_2 .

use to obtain path P' in $G \setminus e$ from u to v . ✓

(3) A tree $\Rightarrow \exists!$ path between any two $u, v \in V$

\exists two paths u to v . ^{exclusive} $\Rightarrow G$ has cycle



Rank (extremal problems)

General kind of graph theory prob:

among graphs with property P
(connected, n vertices) which

graphs minimize property Q

(# edges)

Graphs and matrices

$$G = (V, E)$$

enumerate $V = \{v_1, \dots, v_n\}$ $E = \{e_1, \dots, e_m\}$

incidence matrix $B = (b_{ij})$ where

$$b_{ij} = \begin{cases} 1 & v_i \text{ incident to } e_j \\ 0 & \text{else} \end{cases}$$

adjacency matrix $A = (a_{ij})$ where

$$a_{ij} = \begin{cases} 1 & \{v_i, v_j\} \in E \\ 0 & \text{else} \end{cases}$$

degree matrix $D = (d_{ij})$ where

$$d_{ij} = \begin{cases} \deg(v_i) & i = j \\ 0 & i \neq j \end{cases}$$

Ex



$$B: \begin{matrix} v_1 \\ v_2 \\ v_3 \end{matrix} \begin{pmatrix} e_1 & e_2 \\ 1 & 0 \\ 1 & 1 \\ 0 & 1 \end{pmatrix}$$

$$A: \begin{matrix} v_1 \\ v_2 \\ v_3 \end{matrix} \begin{pmatrix} v_1 & v_2 & v_3 \\ 0 & 1 & 0 \\ 1 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$$

$$D: \begin{matrix} v_1 \\ v_2 \\ v_3 \end{matrix} \begin{pmatrix} v_1 & v_2 & v_3 \\ 1 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

What info about G can we extract from B, A, D ?

Example (powers of A)

$$(A^2)_{ij} = \sum_{r=1}^n a_{ir} a_{rj} = \# \text{ walks length 2 from } v_i \text{ to } v_j.$$

$$a_{ir} a_{rj} = 1 \iff$$



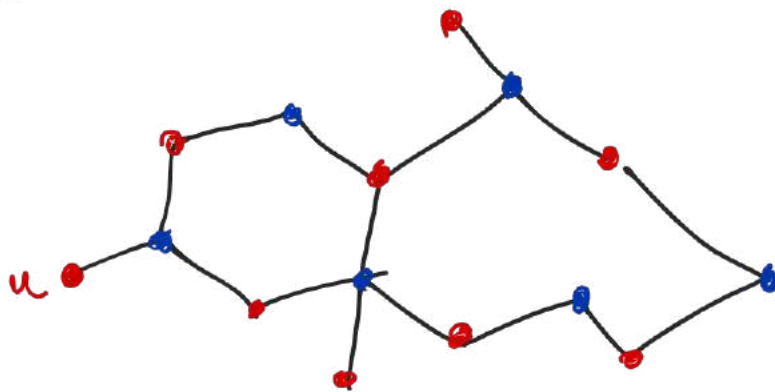
$$\text{note } (A^2)_{ii} = \text{deg}(v_i)$$

Similarly $(A^d)_{ij} = \# \text{ walks length } d$
from v_i to v_j

Last time:

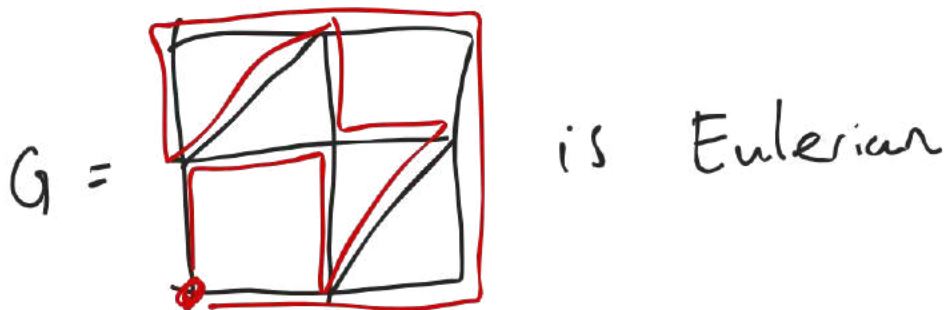
- G bipartite $\Leftrightarrow G$ has no odd cycle

eg

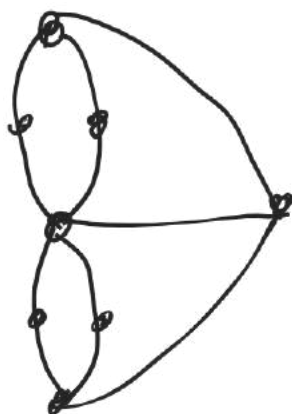


- G is Eulerian if \exists closed walk on G that visits every edge exactly once (call such a walk an Euler tour)

eg



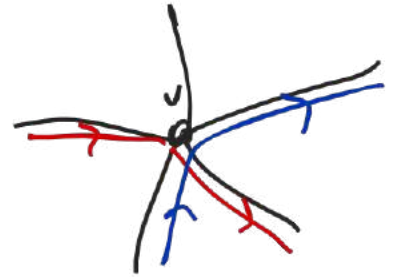
Ex Königsberg graph



is NOT Eulerian because it has vertices of odd degree.

Observation: if $G = (V, E)$ is Eulerian then
(TONC) $\deg(v)$ even for each $v \in V$.

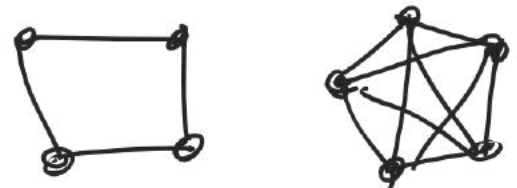
Indeed, a closed walk gives a pairing of
edges incident to v .



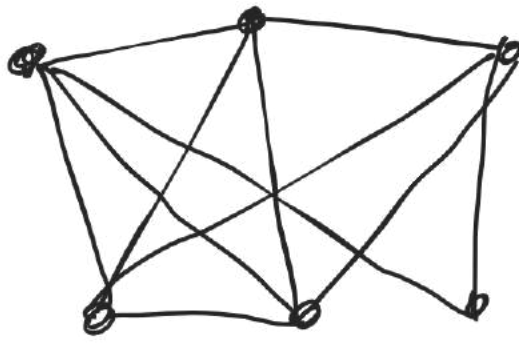
Theorem TONCAS: Assume G connected
 G Eulerian \iff every vertex
has even degree.

connected means any two vertices
joined by path. A disconnected

graph
can't be Eulerian



Ex



Eulerian by
Thm

In practice, not hard to find an Euler tour as proof will show.

Proof of Thm WTS (\Leftarrow)

use induction on $|E|$ number of edges

Base case $|E| = 0 \Rightarrow G = \cdot$

This graph is Eulerian (vacuously)

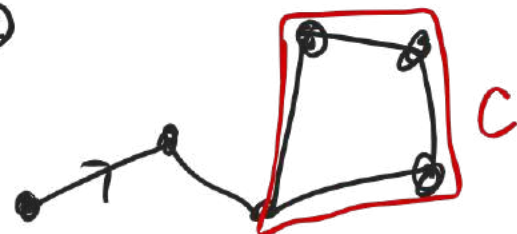
Inductive step Fix $G = (V, E)$

with $\deg(v)$ even $\forall v \in V$.

Assume thm true for graphs with $< |E|$ edges.

Observe: even degrees \Rightarrow

G has a cycle C



Let $F = \{\text{edges of } C\} \subset E$

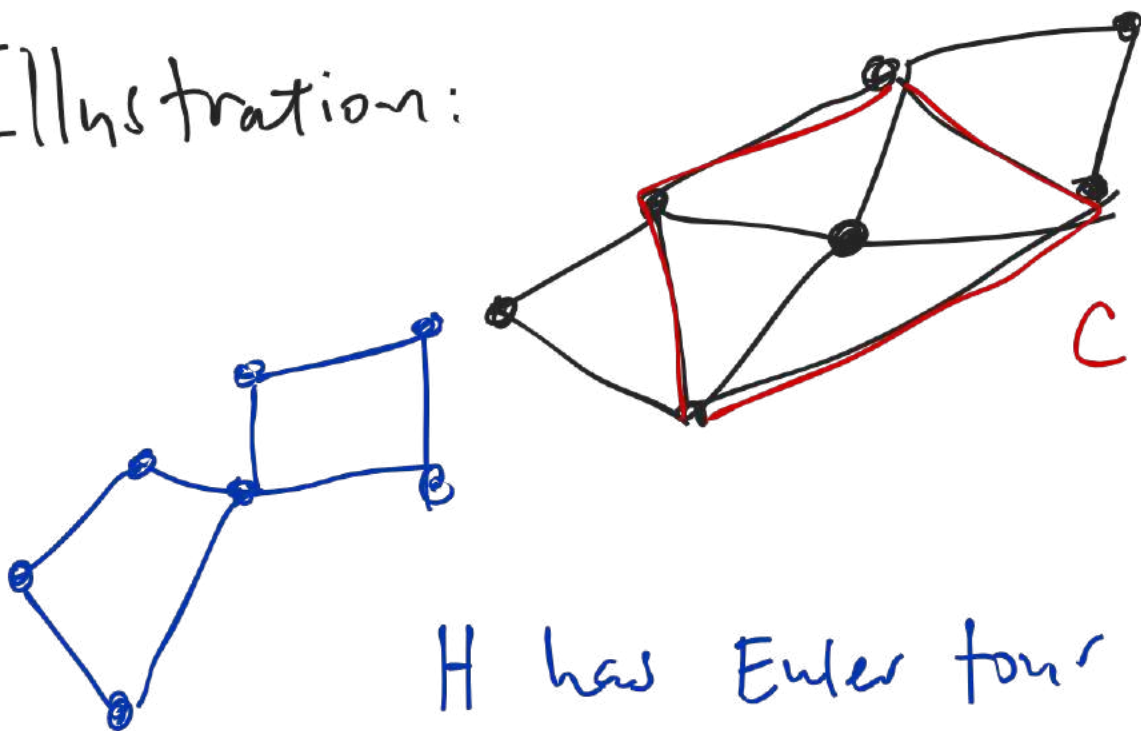
Consider subgraph $H := (V, E \setminus F)$

Each $v \in V$ has even degree in H

$\Rightarrow H$ has an Euler tour w

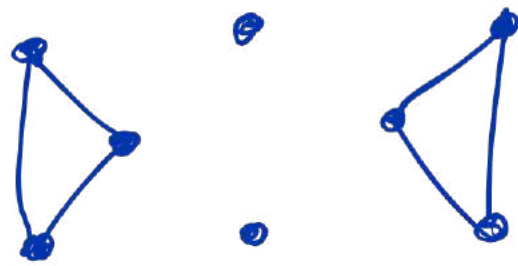
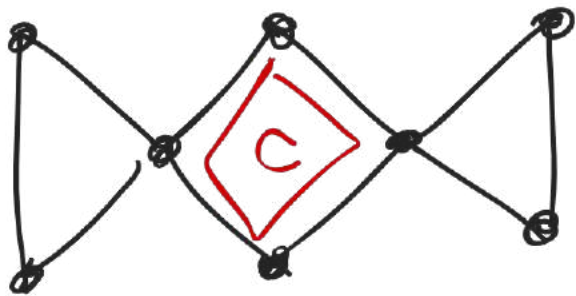
Combine w and C to get
Euler tour of G .

Illustration:

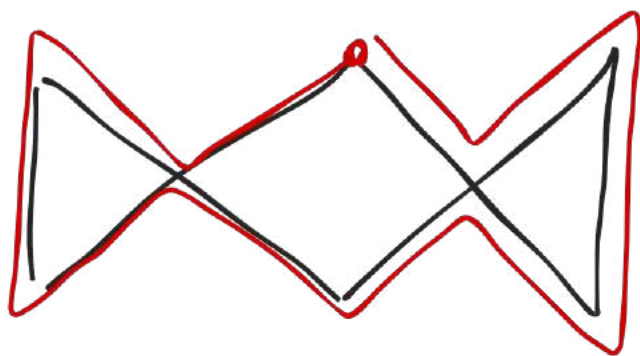


Potential issue: H may not be connected.

Eg



H



To combine H, C into a Euler tour

start walking along C , and

take detour at each vertex

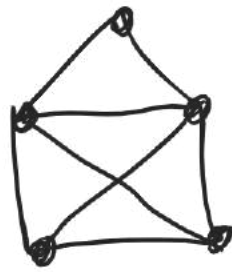
part of a nontrivial component of H ,

following the Euler tour given by

the induction step.

□

Example

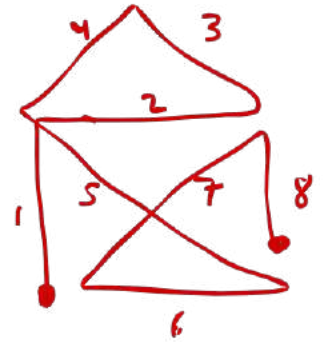


Not Eulerian

deg 3

But here's a walk visiting every edge once

Why doesn't this contradict the theorem?



(Not a closed walk)

Connected graphs

G connected if any two edges are joined by a path

Any graph is union of connected graphs "connected components"

Question What's the fewest number of edges in a connected graph with n vertices?

Ans: $n-1$

eg P_n is connected w/ n vertices, $n-1$ edges.

This is the best possible



Start w/
 n points
each edge
decreases
components
by at most
1.

After k edges

there are $\geq n - k$ components

so need at least $n - 1$ edges

to get 1 component.

Examples w/ n vertices, $n - 1$ edges
(minimizers) These are called trees.



Rank Above question is simple example of extremal problem.

Such problems ask: among graphs with property P (n vertices) which graphs minimize property Q ($\#$ edges)?

Next time

Thm (characterization of trees)

Fix connected G . TFAE

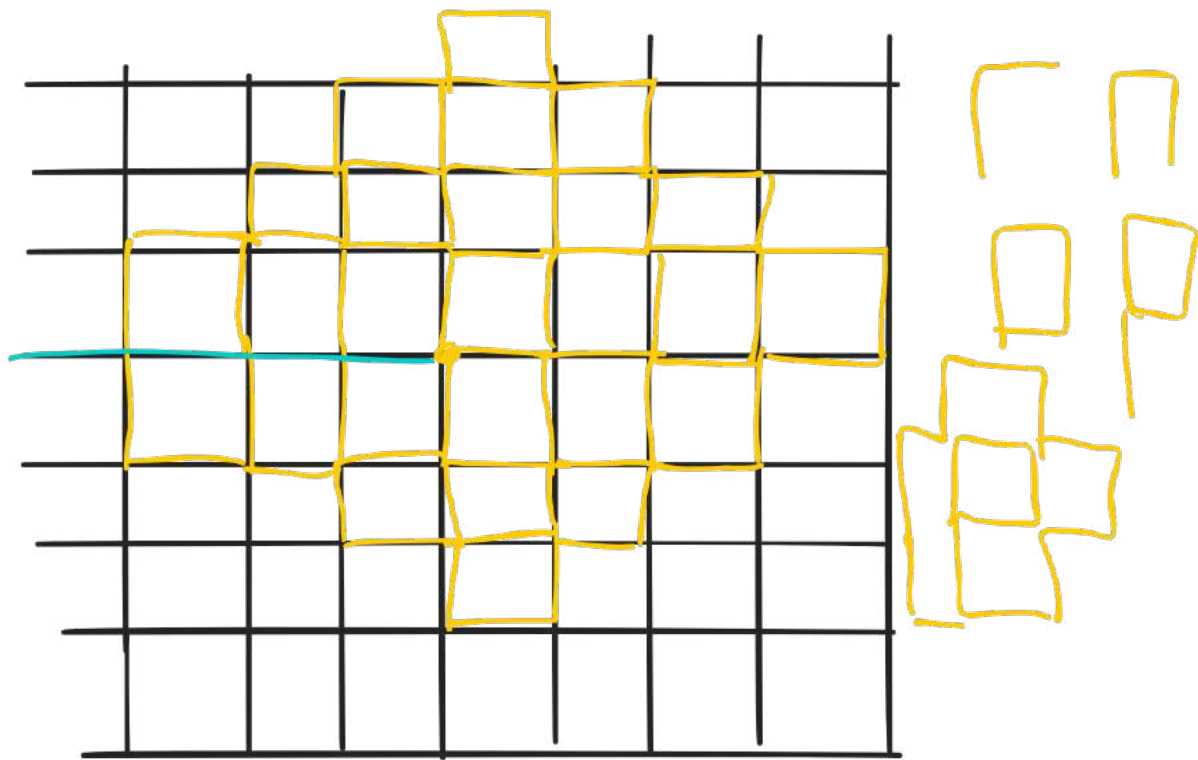
- 1) G has n vert, $n-1$ edges
- 2) removing any edge disconnects G
- 3) G contains no cycle
- 4) Between $u, v \in V$ $\exists!$ path.

Euler tours on infinite graphs

Last time G Eulerian \iff vertex deg are even

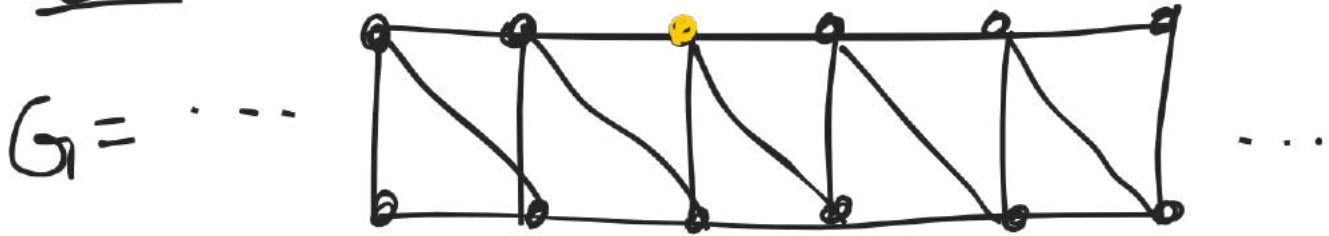
What about infinite graphs? ($|V| = \infty$)

eg infinite grid



An ∞ graph is Eulerian if \exists
walk $(\dots w_{-2}, w_{-1}, w_0, w_1, w_2, \dots)$
that visits every edge once.

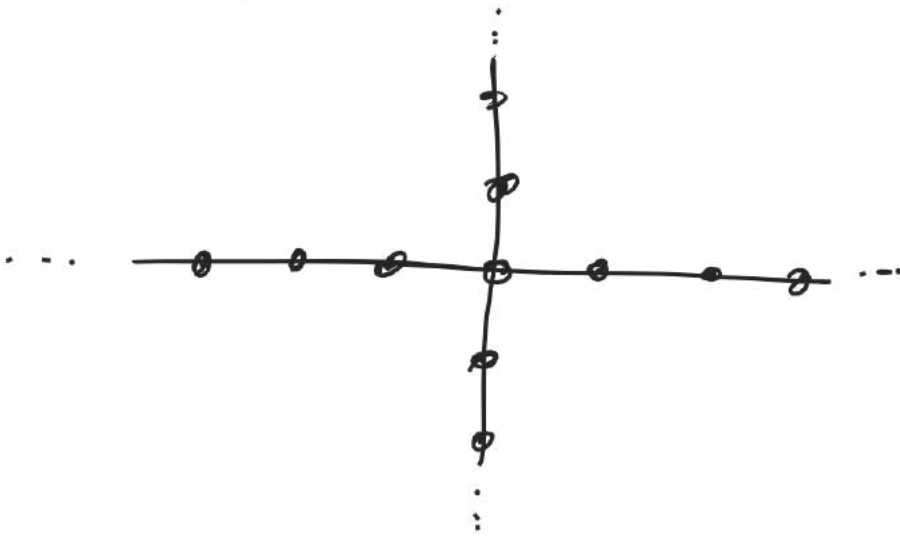
Ex



is Eulerian

Example The infinite grid is Eulerian!

Ex Having even vertex deg is not
enough to be Eulerian (TONC but not
TONCAS)



not Eulerian

Possible final project: characterize
infinite Eulerian graphs
(Erdős - Grünwald - Weiszfeld)

Trees

A tree is a connected graph with n vertices and $n-1$ edges.

Thm (characterization of trees)

Let G be a connected graph w/ n vertices

TFAE

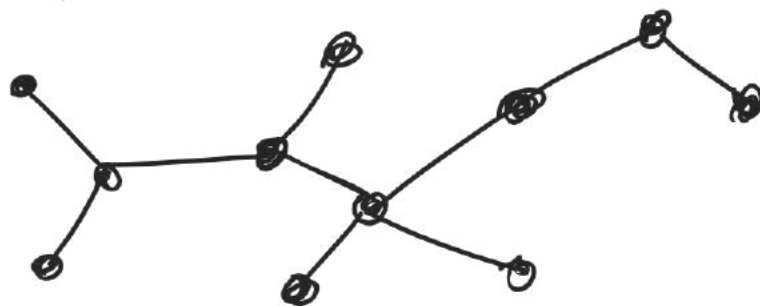
(i) $G \setminus e$ disconnected for each edge e

(ii) G has $n-1$ edges

(iii) G does not contain a cycle

(iv) Any two vertices are joined by unique path.

Ex



Can take any of these as definition of tree.

Will be easier to prove TFAE
for connected graphs $G = (V, E)$

(1) \exists edge e st. $G \setminus e$ connected

(2) $|E| > |V| - 1$

(3) G has a cycle

(4) $\exists u, v \in V(G)$ that are joined
by two different paths

easy implications (do quickly write for exercise)

(1) implies (2), (3), (4)

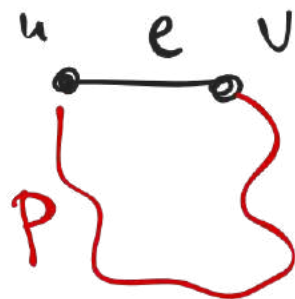
• (1) \Rightarrow (2) by last time with # edges

for conn. graph w/ n vert. is $n-1$

(gives contrapositive)

• (1) \Rightarrow (3) $G \setminus e$ connected

$C = P \cup e$ cycle



• (1) \Rightarrow (4) G is connected

e, P (above) are paths joining u, v .

To finish proof, show

(2) \Leftrightarrow (1) \Rightarrow (4)
 \Updownarrow
(3) \swarrow

(3) \Rightarrow (1)

(4) \Rightarrow (3)

(2) \Rightarrow (1)

(3) \Rightarrow (1) : last time

if $C \subset G$ cycle with

$e \in E(C)$, then $G \setminus e$ connected.

(4) \Rightarrow (3) : Assume paths not unique.

Choose vertices u, v s.t

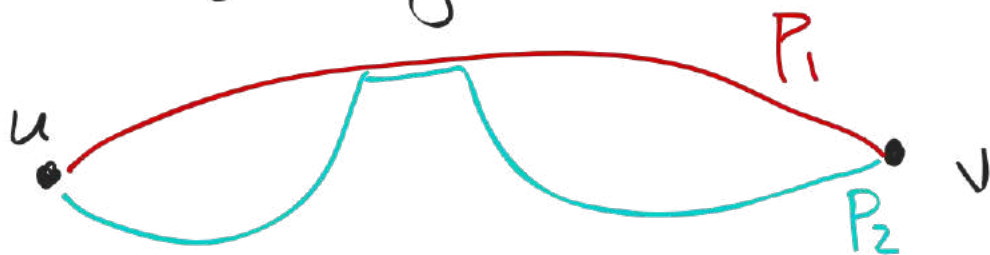
(i) \exists two paths $P_1, P_2 \subset G$ between u, v

(ii) $d(u, v)$ minimal among all

examples satisfying (i)

Claim $P_1 \cup P_2$ form a cycle.

if not



this would contradict (ii).

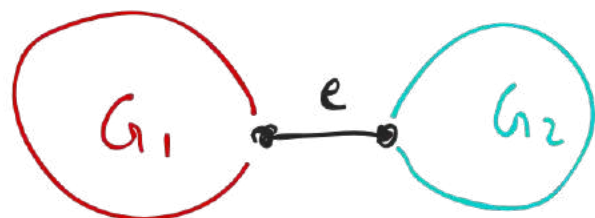
(2) \Rightarrow (1): Contrapositive

G is disconnected $\forall e \Rightarrow |E| = |V| - 1$

Proof by induction on $|E|$

• Base case: $|E| = 0 \Rightarrow G = \bullet$ $|V| = 1$
 $|E| = 0$ ✓

• Induction step: $|E(G)| = m$



$$m-1 = |E(G_1)| + |E(G_2)|$$

$$= |V(G_1)| - 1 + |V(G_2)| - 1 \quad (\text{induction})$$

$$= |V(G)| - 2$$

$$\Rightarrow |E(G)| = m = |V(G)| - 1$$

□

Counting trees

Fix n and let $V = \{1, \dots, n\}$.

Q Among graphs $G = (V, E)$ how many are trees?

Rank total # graphs is $2^{n(n-1)/2}$
(choose edges)

Here we are not counting up to iso.

Exercise (1) Answer Q for $2 \leq n \leq 5$

(2) Make a conjecture about the general case.

$n=2$



1 graph

$n=3$



3 graphs

$n=4$



$\frac{4!}{2} = 12$ graphs

4 graphs

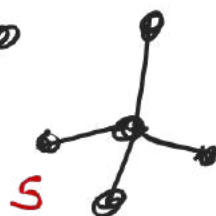


$n=5$



$\frac{5!}{2} = 60$

$5 \cdot 4 \cdot 3 = 60$



5

n	trees
2	$1 = 2^0$
3	$3 = 3^1$
4	$16 = 4^2$
5	$25 = 5^2$

Guess n^{n-2}

Thm (Cayley) Among graphs $G=(V,E)$ with $V=\{1,\dots,n\}$ there are n^{n-2} trees.

Strategy: To count fingers on left hand:

- \exists bijection Left/Right
- count fingers on right hand.

Consider sequences (a_1, \dots, a_{n-2}) with
 $a_i \in \{1, \dots, n\}$

Observe that there are n^{n-2} of these.

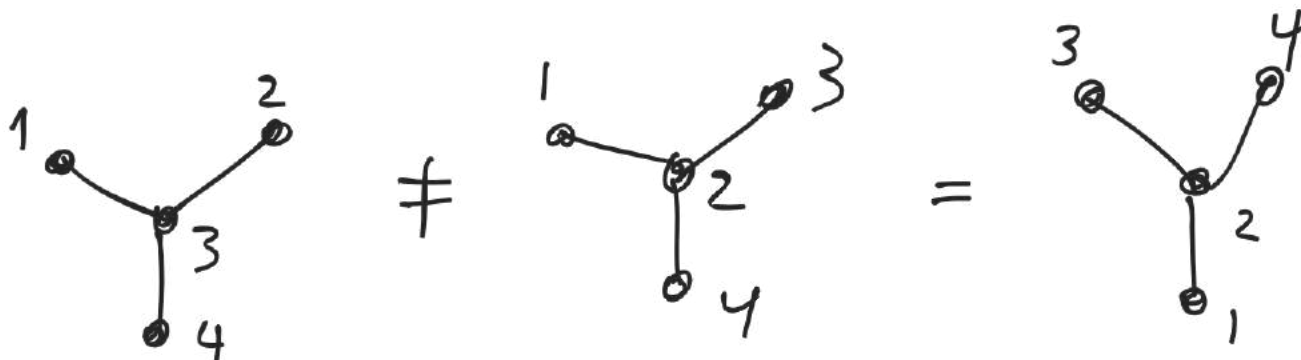
To prove Cayley's Thm we find bijection

Trees with $V(T)=\{1, \dots, n\}$ $\xleftrightarrow{|\cdot|}$ Sequences (a_1, \dots, a_{n-2})
 as above

"Prüfer code"

Trees and Prüfer codes

T tree with vertices $1, \dots, n$



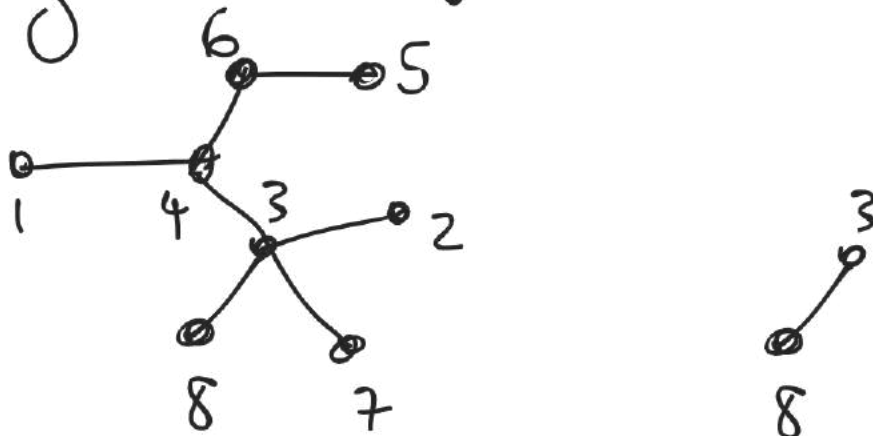
The Prüfer code $P(T) = (a_1, \dots, a_{n-1})$

obtained inductively by

deleting smallest valence 1 vertex x

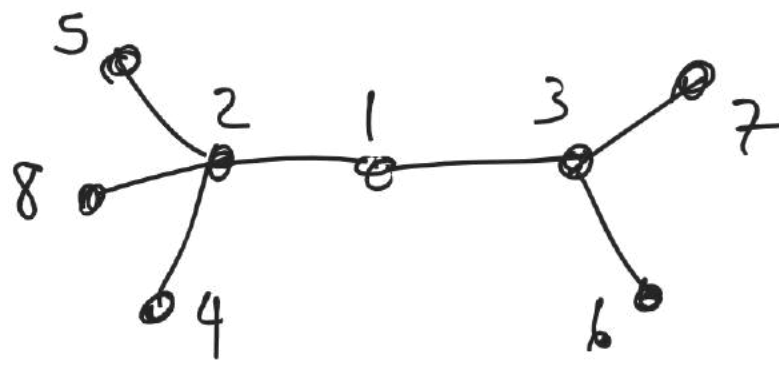
and adding its neighbor to the sequence

Ex $T =$



$$P(T) = (4, 3, 6, 4, 3, 3)$$

Ex $T =$



$$P(T) = (2, 2, 3, 3, 1, 2)$$

Observations

- degree - 1 vertices of T (leaves)
don't appear in $P(T)$
- $v \in T$ appears $\deg(v) - 1$ times in $P(T)$.
each v has valence 1
at some pt in algorithm to get to
this pt, $\deg(v) - 1$ of its nbrs.
have been deleted.

Working backwards :

given $P = (1, 7, 4, 6, 1)$ find T with

$P(T) = P$.

- T will have 7 vertices
- 2, 3, 5 don't appear in P
so they're leaves of T
- the smallest was deleted first

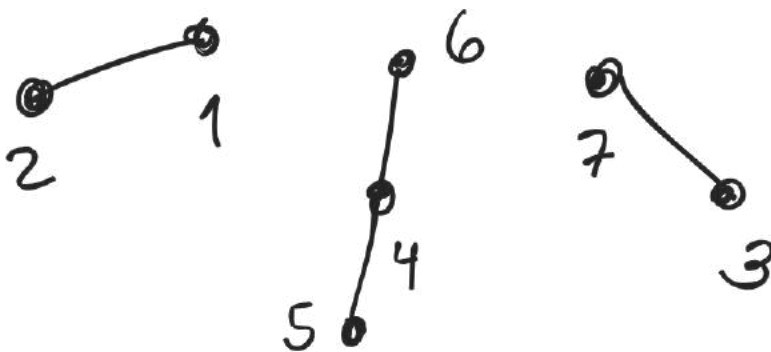


- continue : have graph w/ verts

1, ~~3~~, ~~4~~, ~~5~~, 6, 7 and code (~~7~~, ~~4~~, ~~6~~, 1)

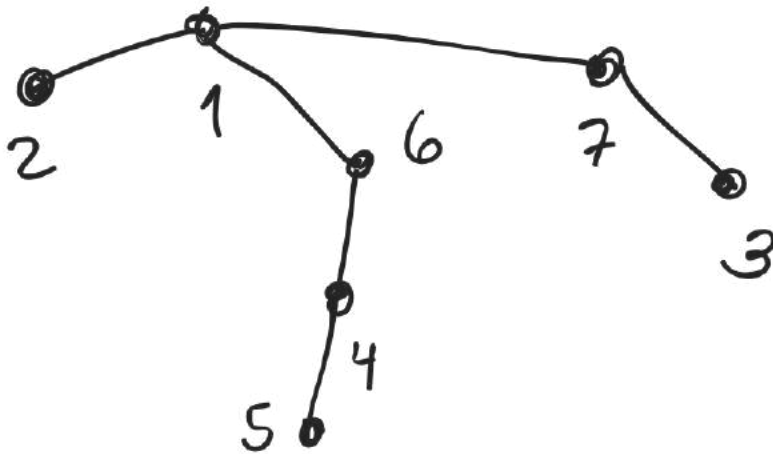
continue

...

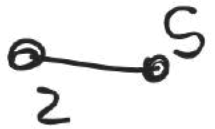
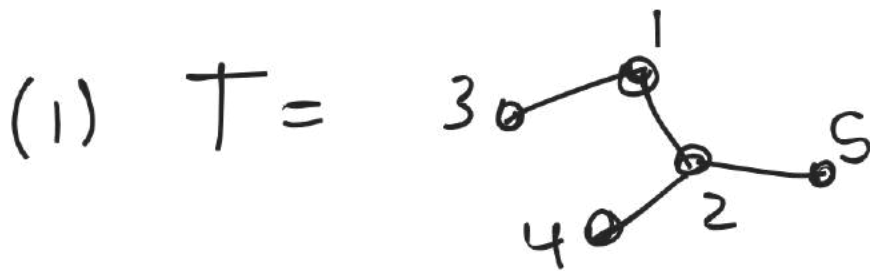


- Final step: want graph with

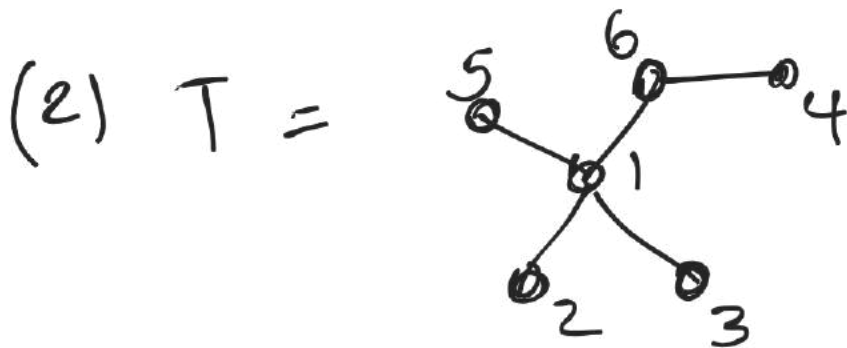
Vertices 1, 6, 7 and code (1)



Exercise Give the code for graphs



(1, 2, 2)

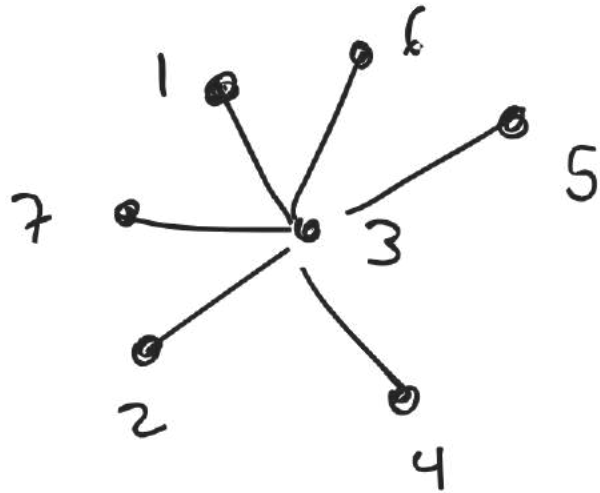


(1, 1, 6, 1)



Exercise draw trees with code

• $(3, 3, 3, 3, 3)$

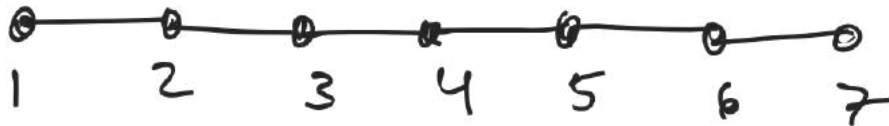


• $(2, 3, 4, 5, 6)$

graph w/ vert and code

1 2 3 4 5 6 7

2 3 4 5 6



Thm $T \mapsto P(T)$ gives a bijection

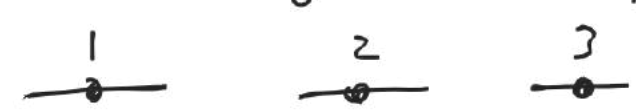
$\left\{ \begin{array}{l} \text{trees with} \\ \text{vertices } \{1, \dots, n\} \end{array} \right\} \longleftrightarrow \left\{ \begin{array}{l} \text{sequences} \\ (a_1, \dots, a_{n-2}) \end{array} \right\} \quad a_i \in \{1, \dots, n\}$

Cor LHS set has n^{n-2} elements

Proof of Thm By induction on n

Base case ($n=2$) There is only one tree 

There is only one sequence $()$.

(alternatively do $n=3$, have 3 graphs
 and 3 seq $(1), (2), (3)$)

Induction Step Focus on surjective. I_{ij} will follow

given $P = (a_1, \dots, a_{n-2})$ let x smallest

index not in P .

Key observation if $P(T) = P$ then ^① x is a leaf,

^② $x \rightarrow a$, is an edge, and ^③ deleting x

leaves tree with vertices $\{1, \dots, n\} \setminus \{x\}$ and

code (a_2, \dots, a_{n-2})

By induction $\exists!$ tree T_1 with

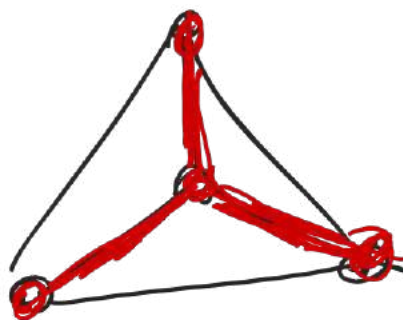
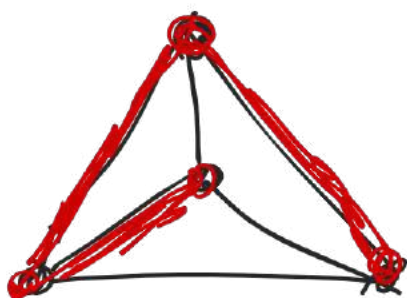
$$P(T_1) = (a_2, \dots, a_{n-2})$$

Then $T = x \text{---} a_1 \text{---} T_1$ is tree
 with $P(T) = P$. Uniqueness of T_1 and ^{key} observation
 $\Rightarrow T$ unique tree with $P(T) = P$ \square

Spanning Trees

Defn Given a graph G , a spanning tree
 is a subgraph $T \subset G$ that is a tree and
 contains every vertex of G .

Ex.



Motivation • (extremal problem) smallest ^{connected} subgraphs
 containing all vertices

- networks. fewest roads to keep open during construction.

so ppl can still travel to diff parts of town.

Prop Every graph has a spanning tree.

Proof Recall that we proved:

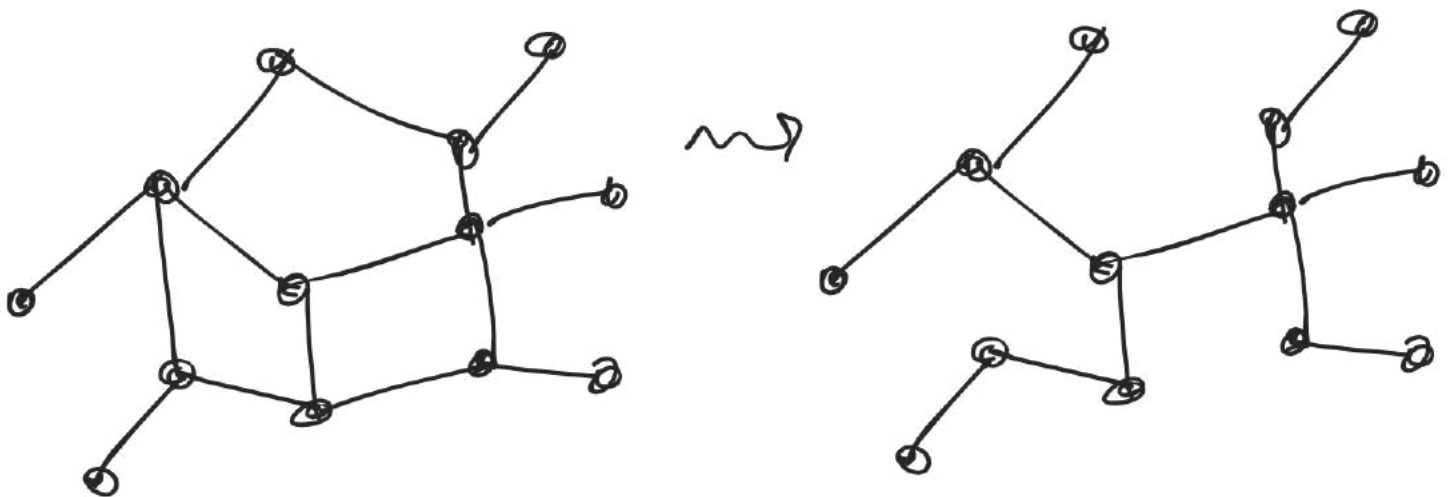
if G has a cycle C , then G is connected
for each edge e of C .

Inductively find cycle of G and
remove an edge.

Eventually arrive at tree (no cycles)

w/ same vertex set

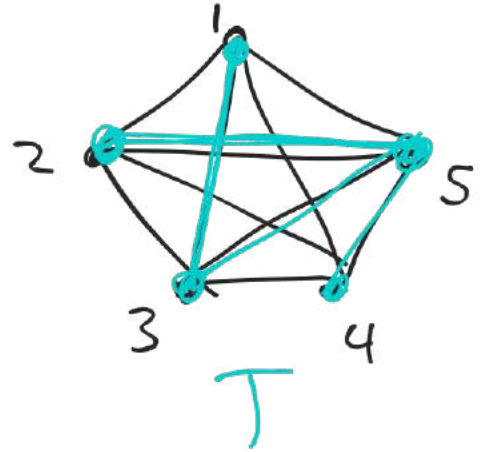
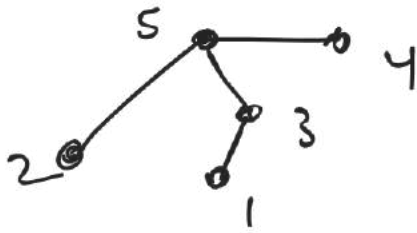
□



Two problems

① Given graph G , how many spanning trees.

Eg $G = K_n$ complete.



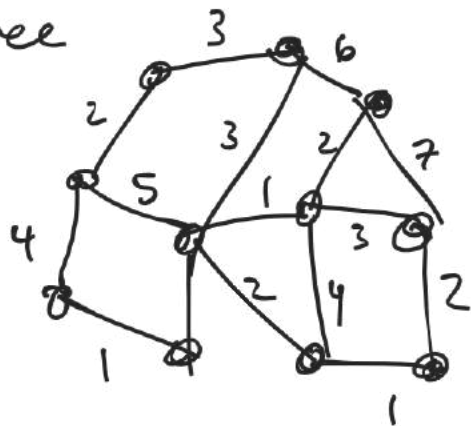
spanning trees is n^{n-2} by Cayley

generalization?

② Given weighted graph find

minimal spanning tree

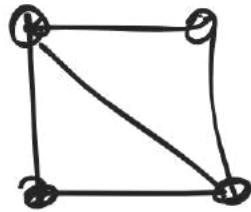
tree with smallest total edge weight.



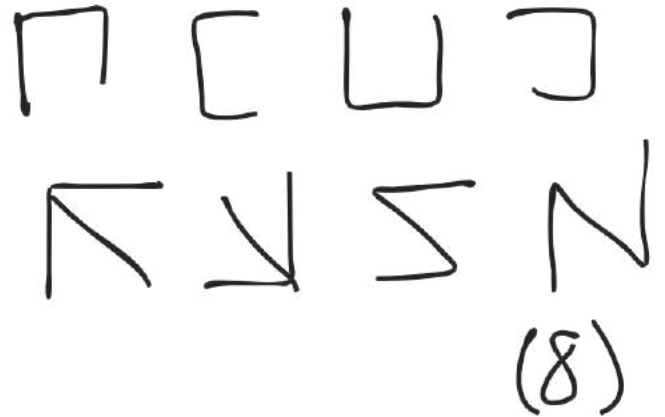
Problem Given graph G how many spanning trees.

Examples

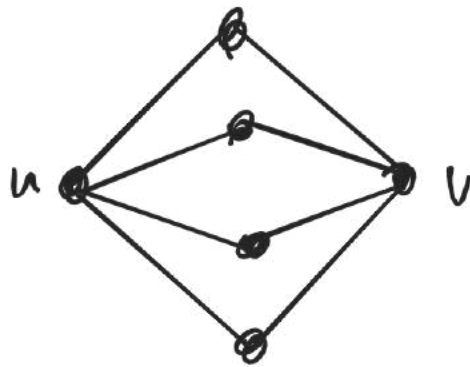
① $G =$



has spanning trees



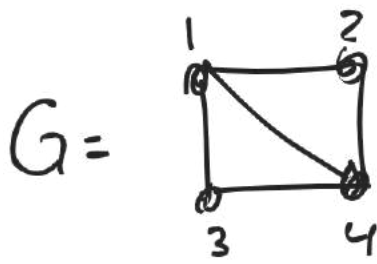
② $G =$



Has $4 \cdot 2^3$ span. trees:

a spanning tree contains a unique path u, v
(4 choices) and there are 2^3 choices
for rest of tree.

Matrix tree theorem (Kirchhoff)



$$M := \begin{pmatrix} 3 & & & 0 \\ & 2 & & \\ & & 2 & \\ 0 & & & 3 \end{pmatrix} - \begin{matrix} & 1 & 2 & 3 & 4 \\ \begin{matrix} 1 \\ 2 \\ 3 \\ 4 \end{matrix} & \begin{pmatrix} 0 & 1 & 1 & 1 \\ 1 & 0 & 0 & 1 \\ 1 & 0 & 0 & 1 \\ 1 & 1 & 1 & 0 \end{pmatrix} \end{matrix} = \begin{pmatrix} 3 & -1 & -1 & -1 \\ -1 & 2 & 0 & -1 \\ -1 & 0 & 2 & -1 \\ -1 & -1 & -1 & 3 \end{pmatrix}$$

degree matrix adjacency matrix

M_{11}

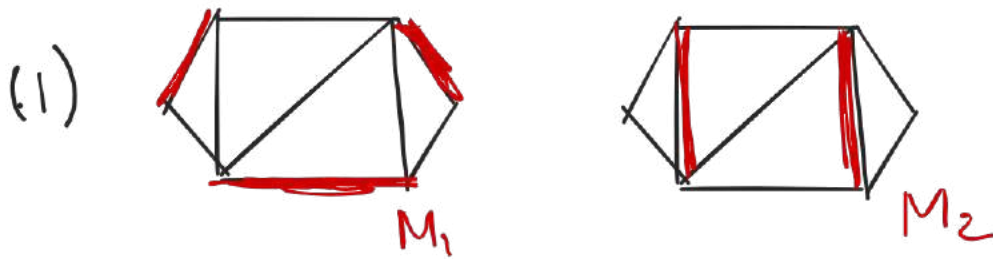
$$\det(M_{11}) = 2(6-1) - 1(2) = 10 - 2 = 8$$

Theorem $\det(M_{11}) = \# \text{ spanning trees.}$

Matchings

Defn A matching in $G = (V, E)$ is a subset $M \subseteq E$ so that no two edges of M share a vertex.

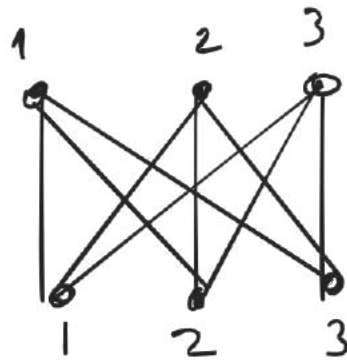
Examples



For $U \subseteq V$ say M saturates U if every $u \in U$ is incident to some $e \in M$.

A matching that saturates V is called perfect.

(2) $K_{n,n}$



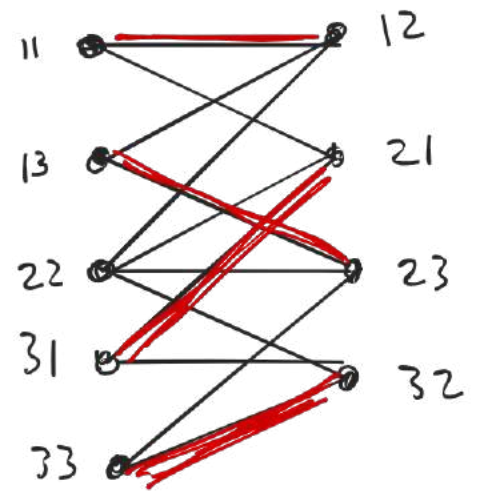
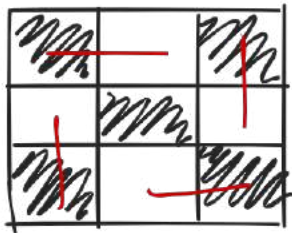
perfect
matchings of $K_{n,n}$





bijection
 $\{1, \dots, n\} \rightarrow \{1, \dots, n\}$

There are $n!$ of these.

(3)



A tiling of board by
 tiles   gives

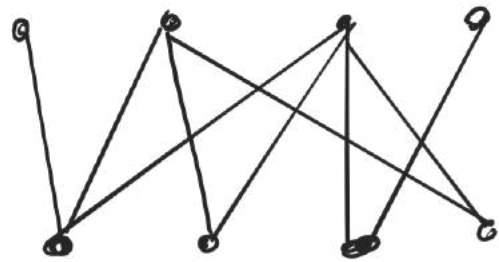
a matching of associated bipartite graph.

(HW2): A bipartite graph $V = A \cup B$ where $|A| \neq |B|$ doesn't have a perfect matching.

(4)

jobs

applicants



Q: Let $G = (V, E)$ be a bipartite graph
 $V = X \cup Y$. Does there exist a
 matching $M \subseteq E$ that saturates X ?

Want: TONC and TONCAS.

Exercise Determine if G has matching that saturates X



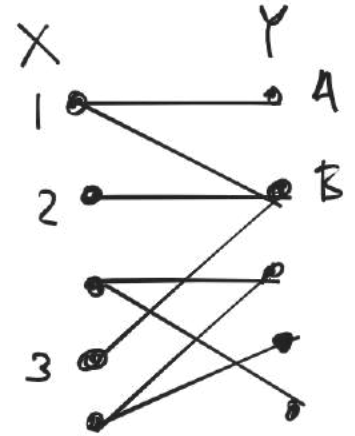
No. 4 applicants competing for 3 jobs



Yes



Yes



No. 3 applicants (1,2,3) competing for 2 jobs (A,B)

For $S \subseteq X$ define $N(S) = \left\{ y \in Y \mid \exists \text{ edge from } y \text{ to some } s \in S \right\}$
neighbors of S .

TONC If $G = (X \cup Y, E)$ has a matching that saturates X , then $|N(S)| \geq |S|$ for each $S \subseteq X$.

Thm (Hall's matching) TONCAS.

For $G = (X \cup Y, E)$ bipartite

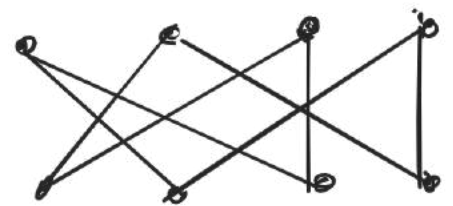
G has matching saturating X



$|N(S)| \geq |S|$
for every $S \subseteq X$.

Cor Fix $k \geq 1$. A k -regular bipartite graph G has a perfect matching

Example graph above is 2-regular



Proof of Cor Write $G = (X \cup Y, E)$.

Step 1 Show $|X| = |Y|$.

$$k|Y| = \sum_{u \in Y} \deg(u) = |E| = \sum_{v \in X} \deg(v) = k|X|$$

Step 2 Since $|X| = |Y|$, to show there is perfect matching suffices to show \exists matching that saturates X .

Apply Hall: Fix $S \subseteq X$.

$$\underbrace{\# \text{ edges leaving } S}_{k|S|} \leq \underbrace{\# \text{ edges entering } N(S)}_{k|N(S)|}.$$

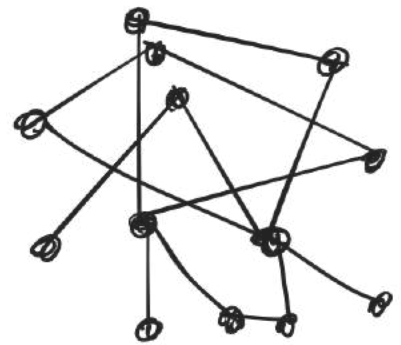
$$\Rightarrow |S| \leq |N(S)|. \quad \checkmark$$

□

Prove Hall next time

Maximum matchings

Let G be any graph.



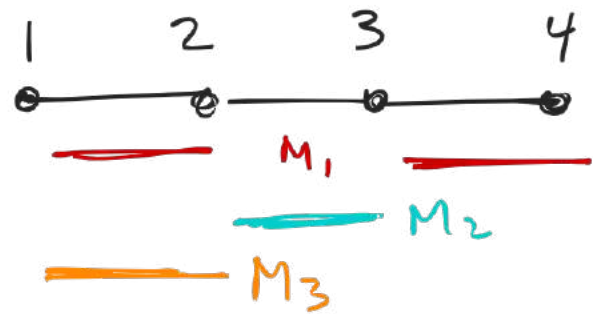
Q: What's the max size of a matching?

Defn Say M is a maximal matching if \nexists matching M' with $M \subsetneq M'$.

Say M is a maximum matching if \nexists matching M' with $|M| < |M'|$.

(Maximum \Rightarrow Maximal)

Example $G = P_4$

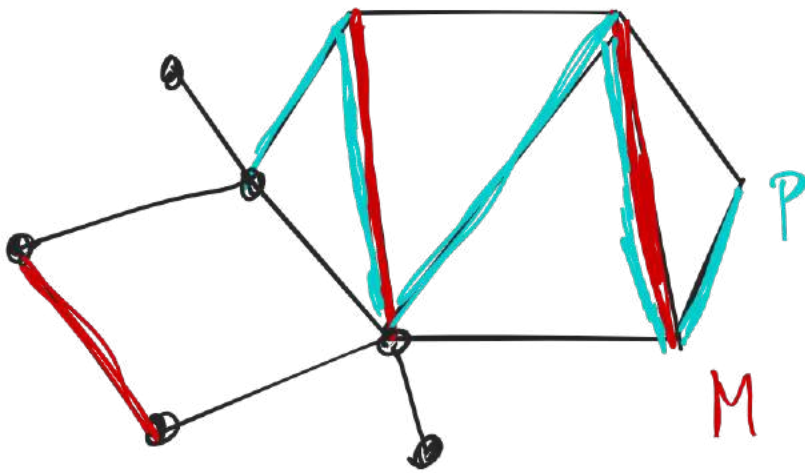


$M_1 = \{12, 34\}$ maximum

$M_2 = \{23\}$ maximal not maximum.

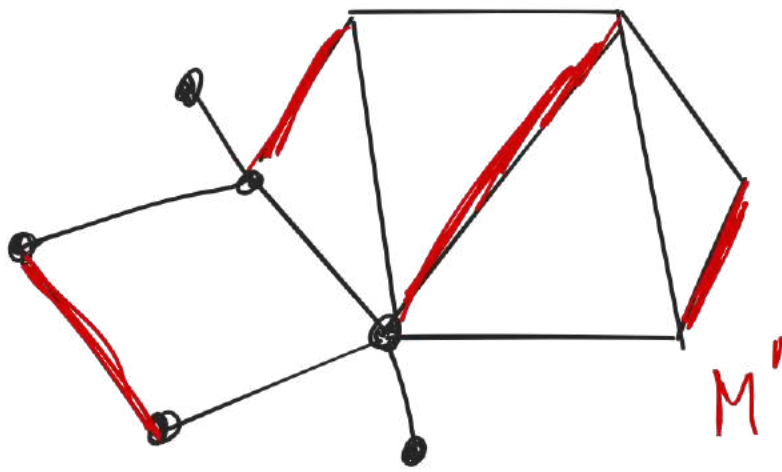
$M_3 = \{12\}$ not maximal

Ex (how to show a matching is not maximum)



Consider path P whose edges alternate between $E \setminus M$ and M .

Here the endpoints aren't incident to any edge of M so we can use P to get a larger matching



Call P an M -augmenting path.

TONC If M is a matching of G and G has an M -augmenting path, then M is not a maximum matching.

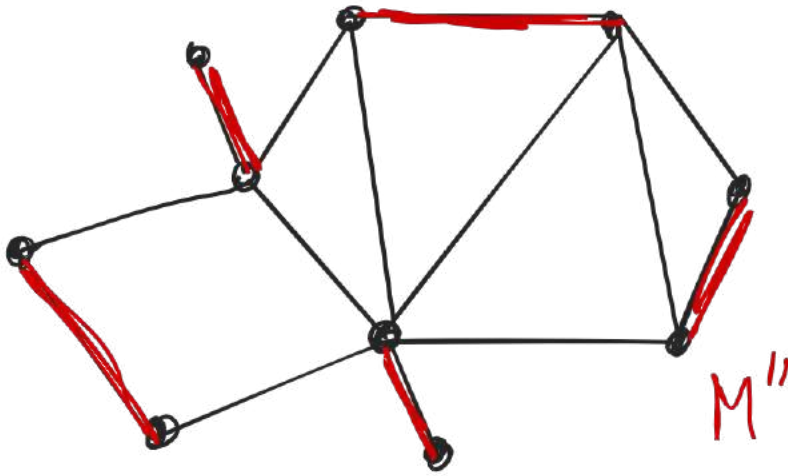
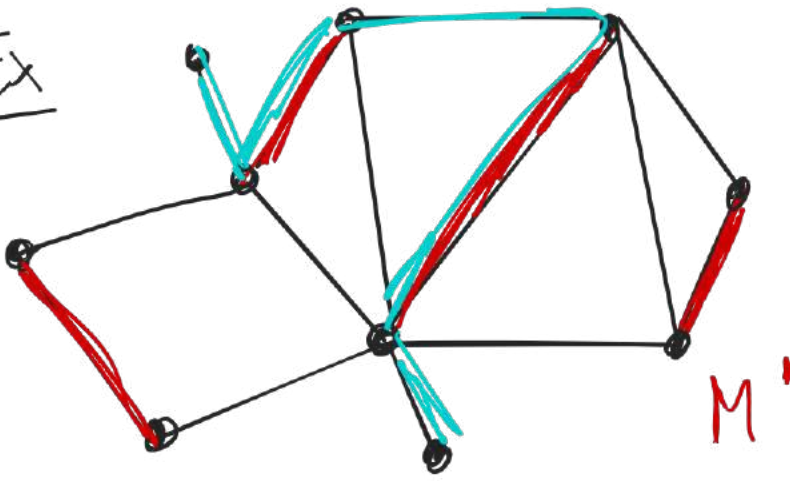
Thm (maximum matchings) TONCAS

M is maximum matching of $G \iff$

G has no M -augmenting paths.

Proof Thus to find a maximum matching we could start w/ any matching M and look for M -augmenting paths to replace M with larger matching, until \nexists M -aug path.

Ex



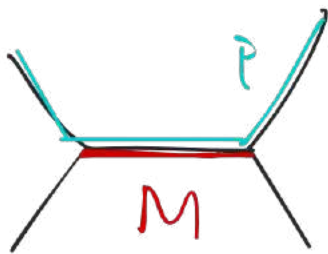
M'' has
no M' -aug
paths.

(indeed every vertex is M'' -saturated)

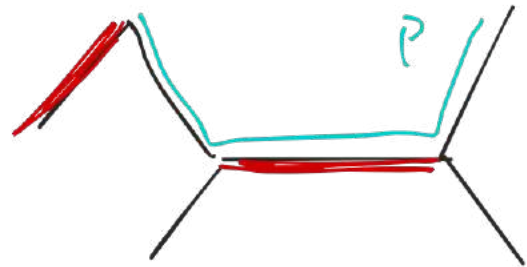
Maximum matchings

Recall $G = (V, E)$

- $M \subseteq E$ is matching if no $e, e' \in M$ have common vertex
- a matching M is maximum if it has most edges of any matching
- for matching M , an M -augmenting path $P \subseteq G$ has edges alternating between M and $E \setminus M$ and endpoints of P not incident to any edge of M .



P is M -aug



P not M -aug.

• TONC:

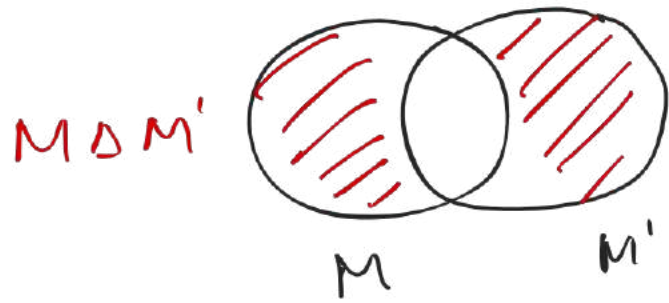
if M maximum, then \nexists M -aug path.

Thm (maximum matchings) TONCAS

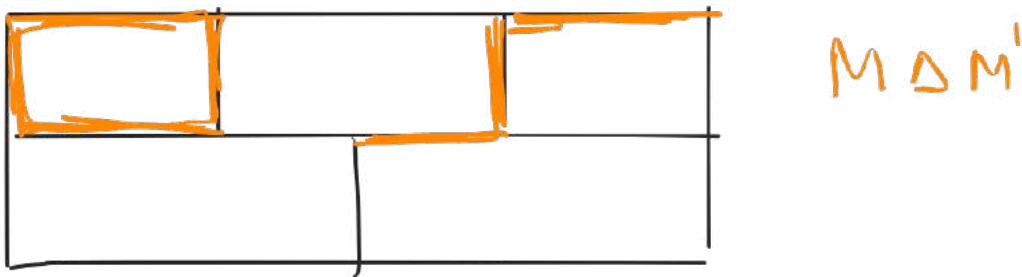
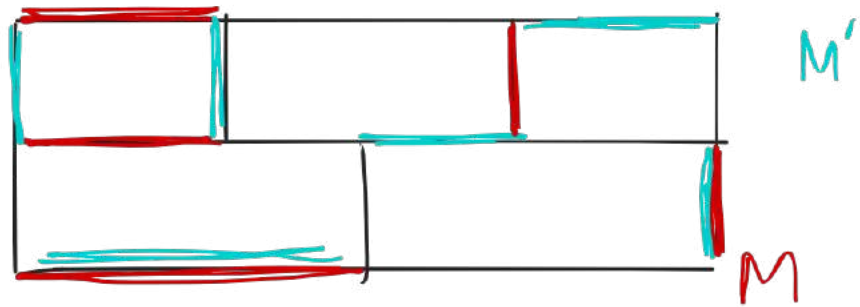
if M not maximum, then \exists M -aug path

Toward proof: if M not maximum $\exists M'$ with more edges. consider symmetric diff

$$M \Delta M' := (M \setminus M') \cup (M' \setminus M)$$



Example



Lemma M, M' matchings of G .

Then $M \Delta M'$ is union of paths and even cycles

Proof (sketch)

- Vertices of $M \Delta M'$ have degree 1 or 2



- graphs with vertex degrees ≤ 2 are unions of P_n 's and C_m 's. (compare to HW2 #3)

- cycles are even length b/c ... They alternate b/w M and M' . \square

Proof of maximum matchings Then

WTS if M is not maximum then G has M -augmenting path.

Let M' be a matching with more edges than M .

By lemma $M \Delta M'$ union of paths and even cycles. Since $|M'| > |M|$, $M \Delta M'$ must

have a component 

Endpts are not incident to M by construction

So this is an M -augmenting path. \square

Hall's Theorem

$G = (X \cup Y, E)$ bipartite

\exists matching M saturating X \iff $|S| \leq |N(S)|$
 $\forall S \subseteq X.$

(\implies) is "obvious"

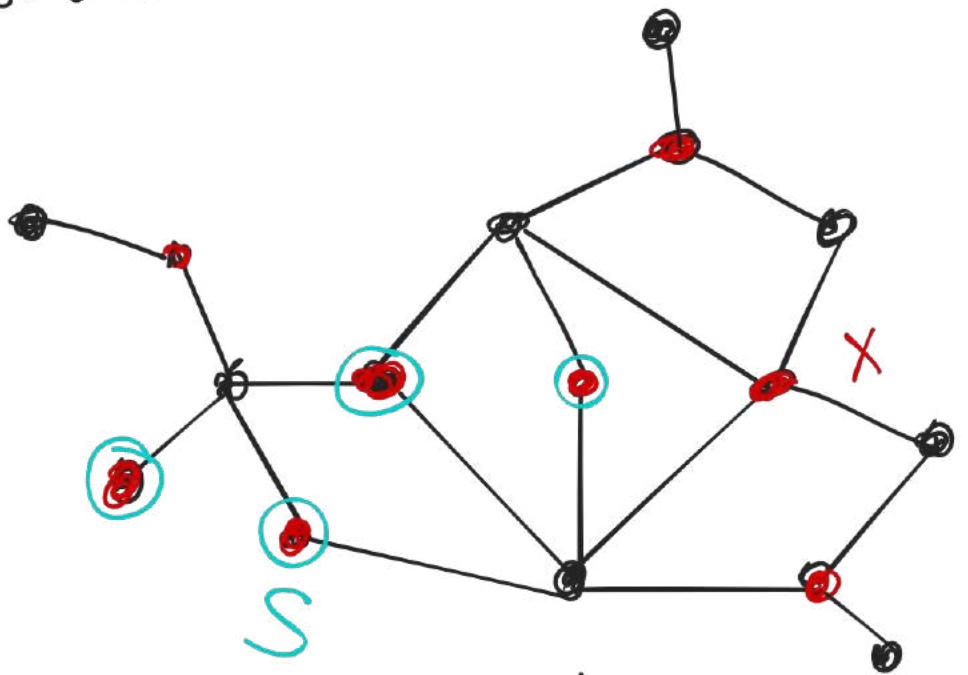
Example

Bipartite

$|X| = |Y|$

$|S| = 4$ $|N(S)| = 3 \implies \nexists$

matching saturating X .



Pre-proof Assume $|S| \leq |N(S)| \forall S \subseteq X.$

Let M be a maximum matching and suppose for a contradiction that M doesn't saturate X .
What goes wrong?

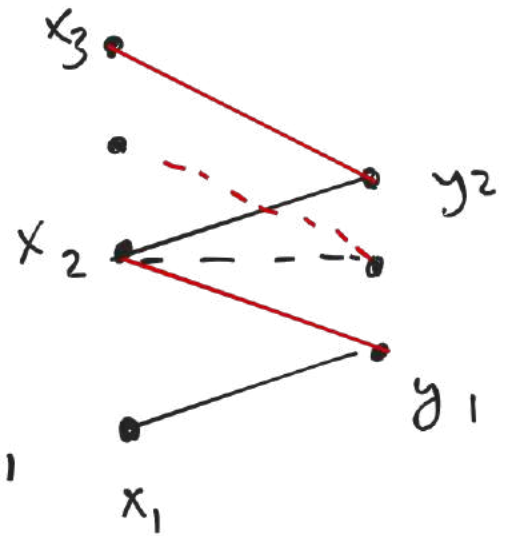
Choose $x_1 \in X$ not saturated by M

$$|N(\{x_1\})| \geq 1 \Rightarrow \exists y_1$$

$M \text{ max} \Rightarrow y_1 \text{ saturated}$
 $\Rightarrow \exists x_2$

$$|N(\{x_1, x_2\})| \geq 2 \Rightarrow \exists y_2 \neq y_1$$

$M \text{ max} \Rightarrow y_2 \text{ saturated} \Rightarrow \exists x_3$



G is finite so eventually this process

ends. If it ends at y_k then we

found M -aug path contradicting $M \text{ max}$

If it ends at x_k would like to

conclude $|N(S)| < |S|$ for some $S \subset X \dots$

Proof Consider M -alternating paths starting at x_1 . Let

$X' = \{x \in X \text{ end point of } M \text{ alt. path starting at } x_1\}$

$Y' = \{ \text{penultimate vertices of these paths} \}$

Observe M gives matching X' to Y'

$$\Rightarrow |X'| = |Y'|$$

Consider $S = X' \cup \{x_1\}$

By assumption $|N(S)| \geq |S|$

$\Rightarrow \exists y \in N(S) \setminus Y'$.



Key $y \notin Y' \Rightarrow y$ not saturated by M .

Case 1 $S = X_1 \Rightarrow$

$s y$ is M alt. path. \times .

Case 2 $s \in X'$



Take ^{alt} path P from

X_1 to s . Then $P \cup \{sy\}$

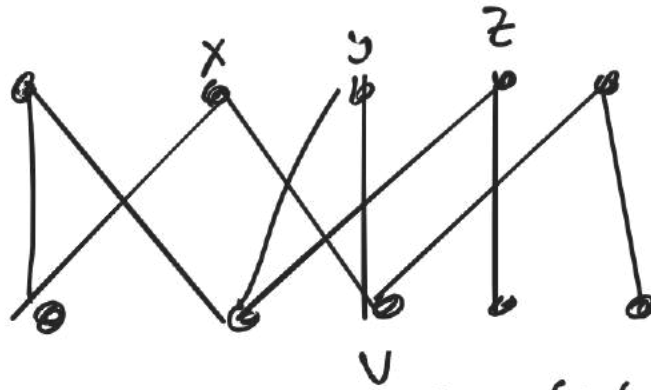
is M -augmenting path. $*$

From this conclude X_1 doesn't exist
ie M saturates X . \square

Stable Marriage Problem

men

women



a bipartite graph. $G = (V, E)$

Goal Find matching incorporating preferences

For each $v \in V$, given an ordering $<_v$ on $N(v)$.

$$x <_v z <_v y.$$

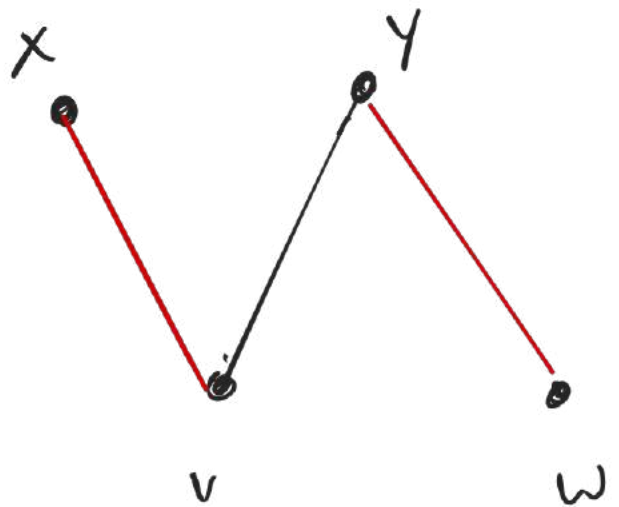
A matching M is stable if no unmatched pair is motivated to change their matching eg.

don't have \longrightarrow

with

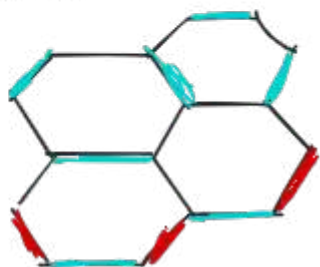
$$x <_v y \text{ and}$$

$$w <_y v.$$



König's Theorem on maximum matchings

Q: Given $G=(V,E)$ what is size of maximum matching?



Recall M maximum \Leftrightarrow
 G has no M -aug-path

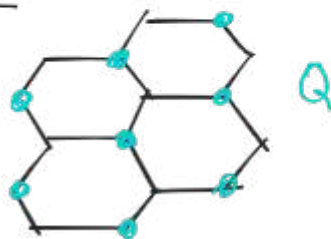
Can use this to find maximum M
but testing for M -aug path can be tedious.

Better way:

Defn A vertex cover of $G=(V,E)$ is

subset $Q \subset V$ s.t. every $e \in E$ has
at least one endpoint in Q .

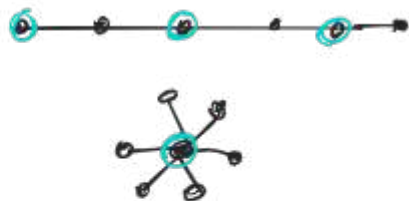
Ex



Note $Q=V$ is always
a vertex cover. We're
interested in small
covers

Ex Social network

efficient way to
spread a message.



Connection to matchings

Lemma M max matching of G .

Then (i) every vertex cover $Q \subset V$
has $\geq |M|$ vertices

(ii) \exists vertex cover w/ $2|M|$
vertices

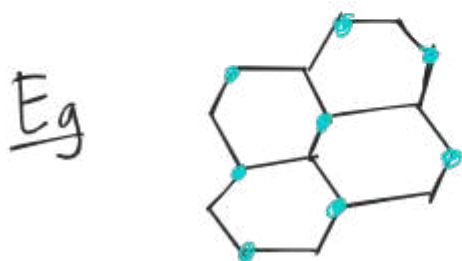
Proof

(i) For each $e \in M \geq 1$ endpt in Q
so $|Q| \geq |M|$. (no $e, e' \in M$
share endpt)

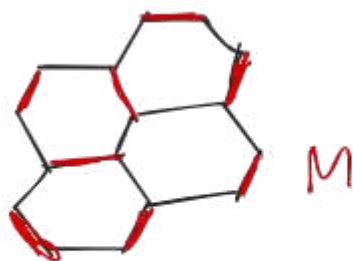
(ii) Take $Q =$ endpts of edges of M .
(so $|Q| = 2|M|$)

Q is vertex cover:

For $e \in E$ either one of both endpoints saturated by M , since M maximum. \square



$\Rightarrow G$ does not have a matching w/ 8 edges.



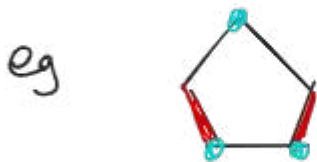
$\Rightarrow M$ is maximum (easy!)

Thm (König) G bipartite.

Max size of matching = Min size of vertex cover.

Rules ① Given lemma, main part is to show G has vertex cover w/ $|M|$ vertices.

② It's important that G bipartite!



max size matching = 2

min size of vertex cover = 3

③ König's This is example of min/max relation.

Consider problems of max matching
& min vertex cover

to be dual problems

This differs from TONCAS.

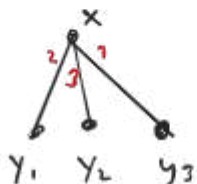
Proving min/max relation can save work.

More examples later.

Stable Matchings

Setup: $G = (X \cup Y, E)$ bipartite

For $v \in V$ given ordering $<_v$ on $N(v)$



$$y_2 <_x y_1 <_x y_3$$

A matching $M \subseteq E$ is stable if for

each edge $\{x, y\} \notin M$ \exists either

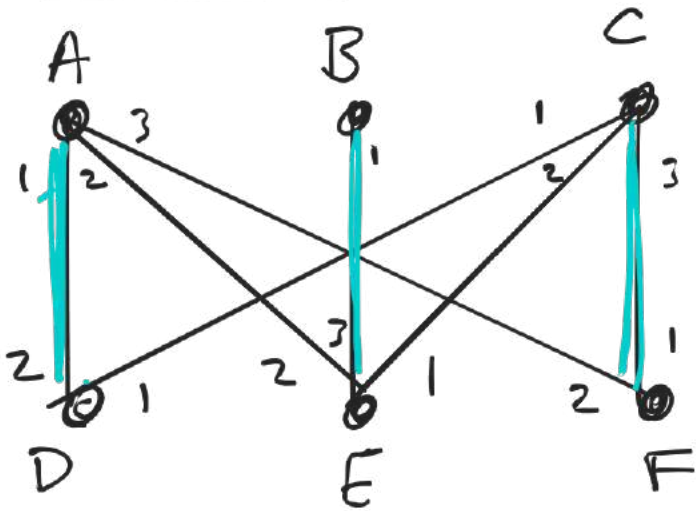
$$\{x, y\} \in M \text{ so } y <_x y'$$

$$\text{or } \{x', y\} \in M \text{ so } x <_y x'$$

ie either x or y is matched to someone/thing they prefer more.

Examples (Add from prev lecture)

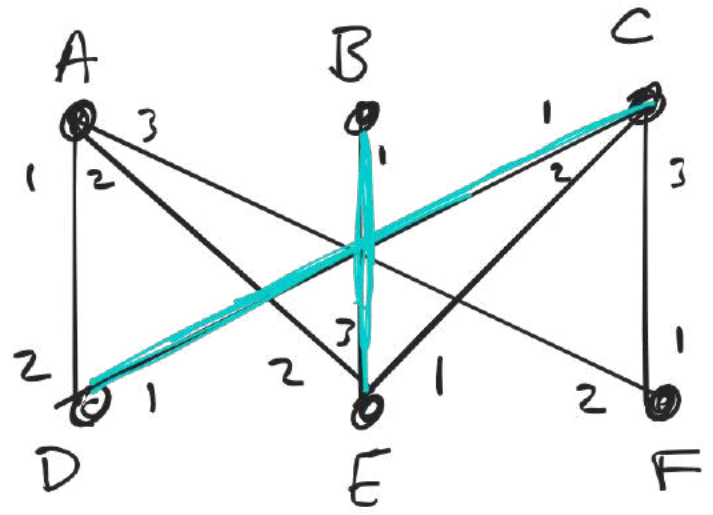
Example



unstable

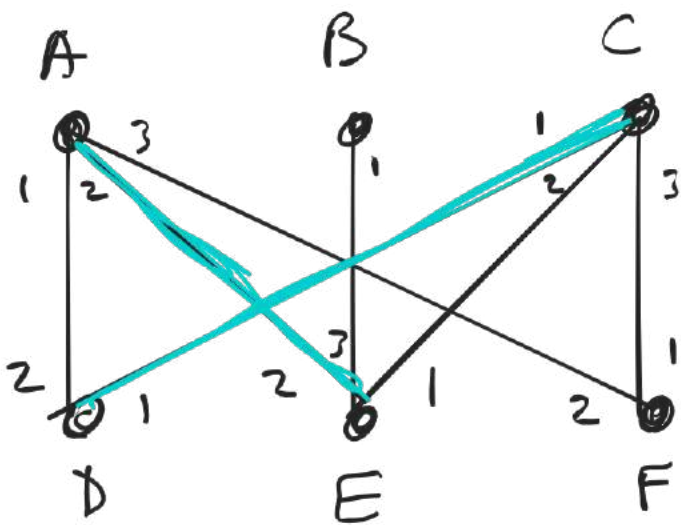
eg

- D prefers C to A
- C prefers D to F



also unstable

- A prefers E to nothing
- E prefers A to B.



Stable

eg

- A prefers D but D prefers C
- E prefers C but C prefers D.
- B prefers E but E prefers A.

Gale-Shapely proposal algorithm

Thm (Gale-Shapely)

Stable matchings always exist!

Idea

Build stable matching inductively.

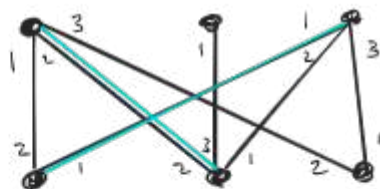
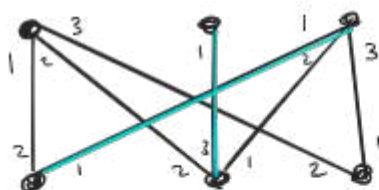
- 1st round: each $x \in X$ proposes to top choice. $y \in Y$ matches w/ best offer. Remaining $x \in X$ are unmatched.
- Subsequent rounds: each unmatched $x \in X$ proposes to top choice they have not yet proposed to. Each $y \in Y$ compares

any new offer to previous
and matches w/ top choice.

Remaining x remain/become
unmatched.

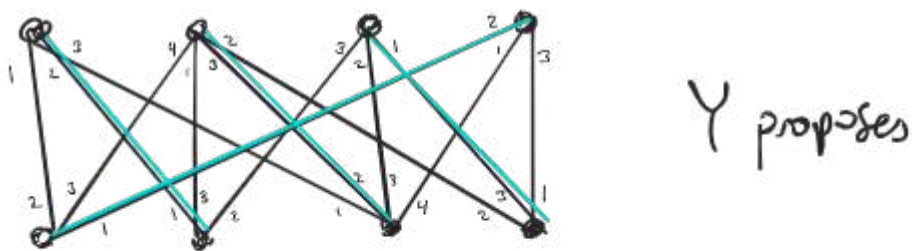
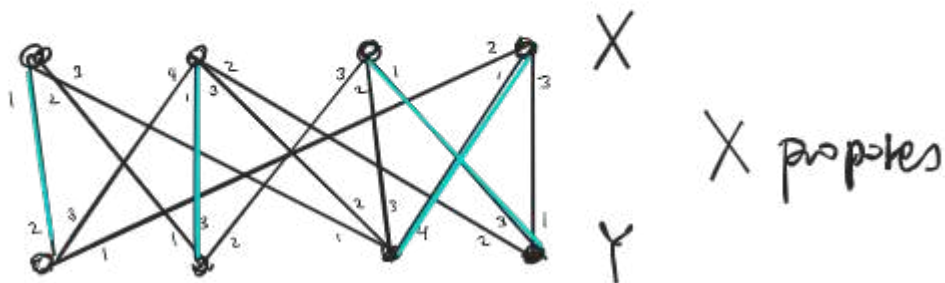
- Repeat until each $x \in X$
either matched or has
proposed to full list.

Example



Stable

Example (we algorithm, check if stable)



Features

- algorithm stops :
at most $|E|$ proposals made,
none made twice

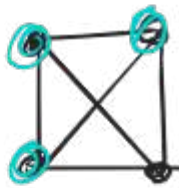
- Matching at end stable
- Proposers end up w/
best possible match
(among all stable matchings) and
proposees get worst possible
match

Rank algorithm used for
med school residencies.

König's Theorem

Recall $G = (V, E)$. A vertex cover is
 $Q \subset V$ st. every edge has ≥ 1 endpoint in Q .

EX $G = K_n$



Every two vertices connected
by edge \Rightarrow a vertex
cover must have $\geq n-1$
vertices

Theorem (König) G bipartite.

min size of vertex cover = max size of matching.

Last time: \geq (each edge of M
has ≥ 1 endpoint in Q)

EX $G = K_n$ max size of matching is $\lfloor \frac{n}{2} \rfloor$
(generally max size is $\leq n/2$)

So in general diff. b/w

min vertex cover size $\hat{=}$ max matching size
can be arb. large.

Proof $G = (X \cup Y, E)$

Fix $M \subseteq E$ maximum matching.

WTF: vertex cover Q w/ $|M|$ vertices

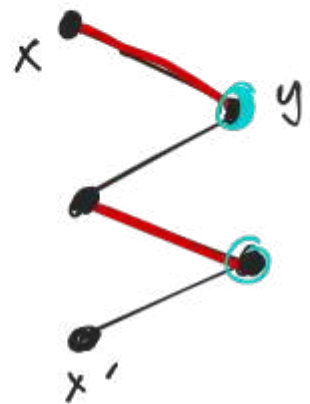
idea ^{try to} use one vertex from each edge of M

Fix $e = \{x, y\} \in M$. How to decide:
 $x \in Q$ or $y \in Q$?

Case 1: \exists alternating path from unsaturated
 $x' \in X$ ending in edge e .

Then choose $y \in Q$.

Case 2 otherwise $x \in Q$.

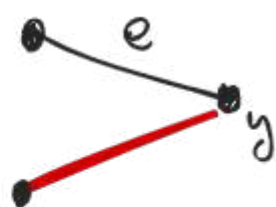


Check Q is a vertex cover.

Fix edge $e = \{x, y\} \in E$. If $e \in M$, done

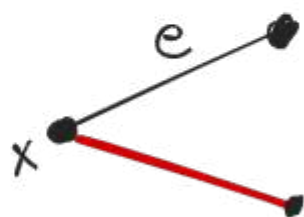
If $e \notin M$, one endpoint is saturated.

Case



by defn, $y \in Q$. \checkmark

Case

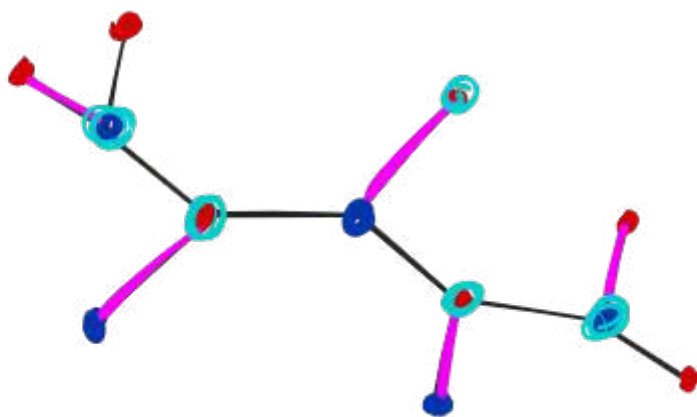


$x \in Q$

(M maximum
 so \exists M-aug
 path)

□

Ex



X

Y

Application:

Hall's Thm $G = (X \cup Y, E)$ has matching

saturating X $\iff |S| \leq |N(S)| \quad \forall S \subseteq X$.

Proof of (\Leftarrow) using König

By contrapositive. Let M be a maximum matching. Suppose it doesn't saturate X .

Let Q be a min vertex cover.

$$|Q| = |M| < |X|.$$

$$|Q| = |Q \cap X| + |Q \cap Y|$$

Observe all edges from $x \in X \setminus Q$

land in $Q \cap Y$ since Q vertex cover.

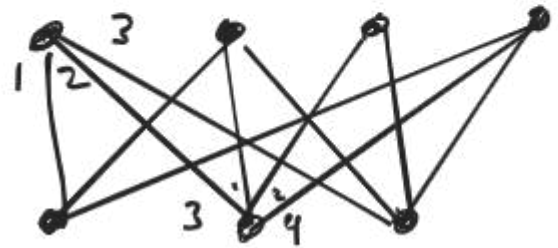
I.e for $S = X \setminus Q$, $N(S) \subseteq Q \cap Y$.

$$|S| = |X \setminus Q| = |X| - |X \cap Q| > |Q \cap Y| \geq |N(S)|$$

□

Gale-Shapley Theorem

Given bipartite $G = (X \cup Y, E)$ with preferences



A matching $M \subseteq E$

is stable if never have $\{x, y\} \in E$ st

- x prefers y to x 's match and y prefers x to y 's match.
- x unmatched and y prefers x to y 's match. Same switching x, y .
- x, y both unmatched.

Thm (Gale-Shapley) For any

bipartite G w/ preferences, \exists stable matching.

Proof by construction

Short summary of proposal algorithm
Vertices in X propose to top
choice that they haven't proposed to.
Vertices in Y accept their best offer.
Stop when all $x \in X$ matched or
have no more proposals to make.

Call this matching M_x

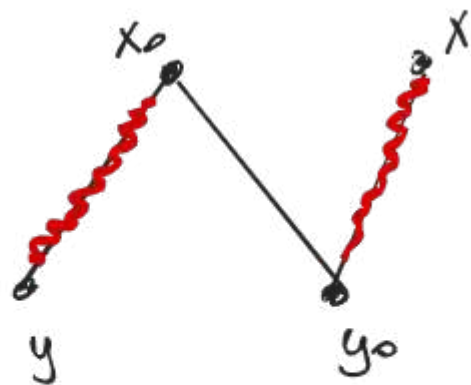
Claim M_x is stable.

Key property if $\{x, y\} \in M_x$ then
 x has been rejected by each $y' \in Y$
that x prefers. Also y has not been
proposed to by any $x' \in X$ that y prefers.

Proof of claim Fix $\{x_0, y_0\} \in E \setminus M_x$

There are several cases

- x_0, y_0 both matched
if x_0 prefers y_0 to y
(o.w. we're done)



then x_0 was rejected
by y_0 which means

y_0 rejected x_0 , so y_0 prefers x to x_0 .

- other cases similar. □

Further properties/comments

(1) Can't cheat algorithm by lying.

eg if $x \in X$ puts #1 choice at bottom
of list that pairing becomes less likely.

as it gives all x 's other choices a

chance to accept first.

(2) Algorithm optimal for proposers

let M any other stable matching.

For each $x \in X$ if x paired w/

y in M_x and $y' \in M$ then

x prefers y to y' . (or $y = y'$)

Proof Sketch

By contradiction. Suppose $\exists M,$

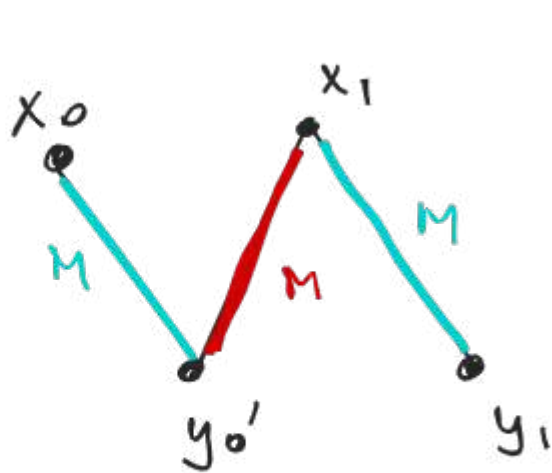
$x_0 \in X, \{x_0, y_0\} \in M_x \quad \{x_0, y'_0\} \in M,$

and x_0 prefers y'_0 to y_0

There may be many x 's like this

Choose x_0 that is rejected by

corresponding y'_0 earliest in proposal algorithm.



x_0 rejected by y_0'
 $\Rightarrow y_0'$ already
 accepted proposal
 from x_1 that
 y_0' prefers.

$\{x_0, y_0'\} \in M$ and y_0' prefers x_1
 and M stable $\Rightarrow \{x_1, y_1\} \in M$ with
 x_1 preferring y_1 to y_0' .

$\{x_1, y_1\} \notin M \Rightarrow x_1$ rejected by y_1

This happened before x_1 proposed to y_0'
 and hence before y_0' rejected x_0 ~~X~~.

(3) This algorithm used for

med school residency matching.

Initially w/ schools proposing.

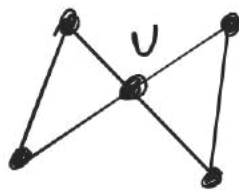
Later switched to students proposing.

(algorithm ethics / bias)

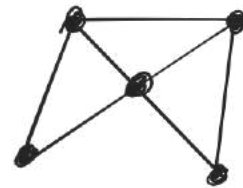
I. Graph Connectivity

"Some graphs are more connected than others"

Ex



VS

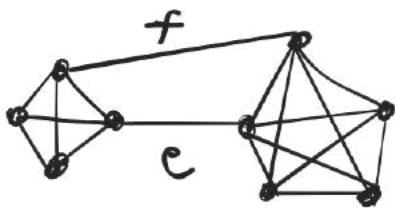


$G \setminus v$ disconnected

$G \setminus v$ connected

$\forall v \in V$

Ex



VS



$G \setminus \{e, f\}$

disconnected

$G \setminus \{e, f\}$

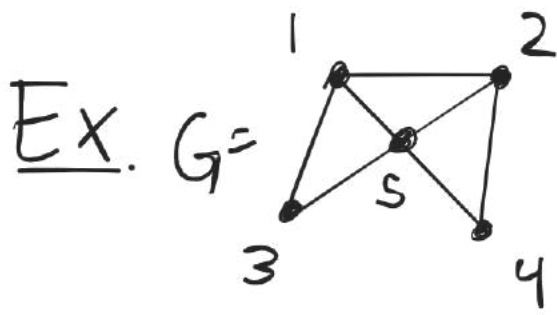
connected $\forall e, f \in E$

Defn A vertex cut of $G = (V, E)$

is subset $S \subset V$ s.t. $G \setminus S$ disconnected

The vertex connectivity $\kappa(G)$ is

the smallest size of a vertex cut.



$S = \{1, 5\}$ is
vertex cut

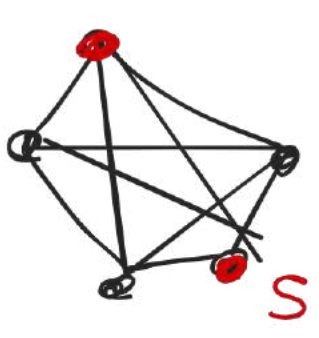
$S = \{3, 4\}$ not
vertex cut

Since $G \setminus \{v\}$ connected $\forall v$

$k(G) = 2$.

Ex $G = K_n$ For any $S \subset V$

$K_n \setminus S \cong K_{n-|S|}$



So $k(K_n) = \dots$ (what's min of empty set?)

Leave $k(K_n)$ undefined for now.

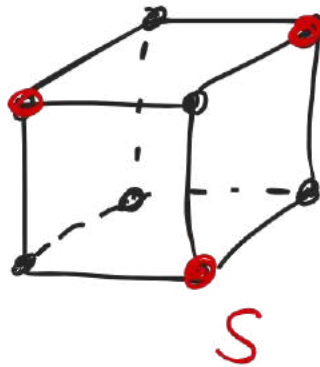
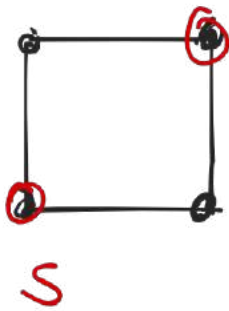
Lemma Fix $G = (V, E)$. If $G \neq K_n$,
then G has a vertex cut.

Pf. $G \neq K_n \Rightarrow \exists u, v \in V$

s.t. $\{u, v\} \notin E$. Take $S = V \setminus \{u, v\}$.

Then $G \setminus S = \begin{matrix} \bullet & \bullet \\ u & v \end{matrix} \quad \square$

Ex $k(Q_n) = n$

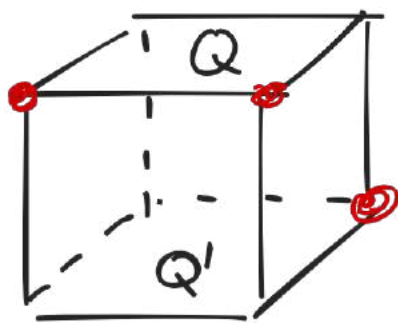


Q_n has vertex cut obtained by
removing all neighbors of $(0, \dots, 0)$

There are n . Thus $k(Q_n) \leq n$

Harder: any vertex cut has
at least n vertices

Prove this by induction on n .



$$Q \cong Q_{n-1} \cong Q'$$

(cf. HW4 # 2)

Induction step Fix vertex $w \in S$
of Q_n .

Case 1 $Q \cap S, Q' \cap S$ both connected

Then S must contain one vertex

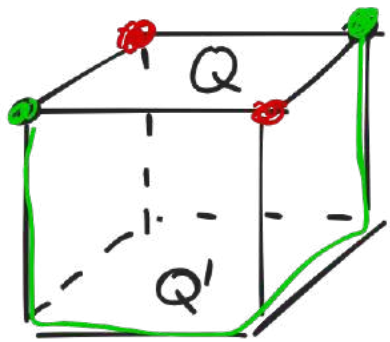
from each edge connecting Q, Q'

$$\Rightarrow |S| \geq 2^n$$

Case 2 (wlog) $Q \cap S$ disconnected.

Then $|Q \cap S| \geq n-1$.

If $|Q' \cap S| = 0$ then $Q \cap S$



connected

so $|Q' \cap S| \geq 1$

$\Rightarrow |Q| \geq k.$

\square

Remarks

① Want effective way to compute $\kappa(G)$.

Upper bounds are "easy": to show $\kappa(G) \leq m$ need only to find

vertex cut of size m .

Lower bounds are harder.

(Similar to finding size of max matching.)

② Similarly can define edge cuts, edge connectivity.

Ex submarine cable map

K-connectedness

Say G is m -connected if

$k(G) \geq m$ i.e. G is connected for any $S \subset V$ with $|S| < m$.

Eg Every graph is 0-connected.

1-connected \iff

$S = \phi$ is not a vertex cut.

Connected
every pair of vertices

Connected by path

Thm (Whitney) Fix $G = (V, E)$ w/ $|V| \geq 3$

TFAE

- ① 2-connected
- ② $\forall u, v \in V \exists 2$ disjoint (u, v) -paths (*)
- ③ G has an ear decomposition (+) (++)

(*) paths u to v sharing no internal vertices

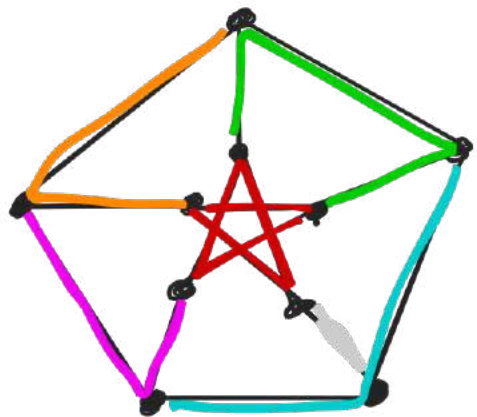


(+) paths whose internal vertices have $\text{deg} = 2$

(++) obtained from C_n by adding ears.



eg Petersen graph



Easier $(3) \Rightarrow (2) \Rightarrow (1)$. (exercise)

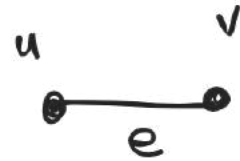
Proof of $(1) \Rightarrow (2)$

Assume G 2-connected.

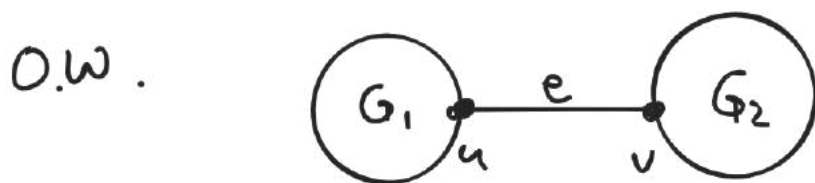
Want: disjoint (u,v) -paths for each pair $u,v \in V$.

Use induction on $d(u,v)$

base case $d(u,v) = 1$.



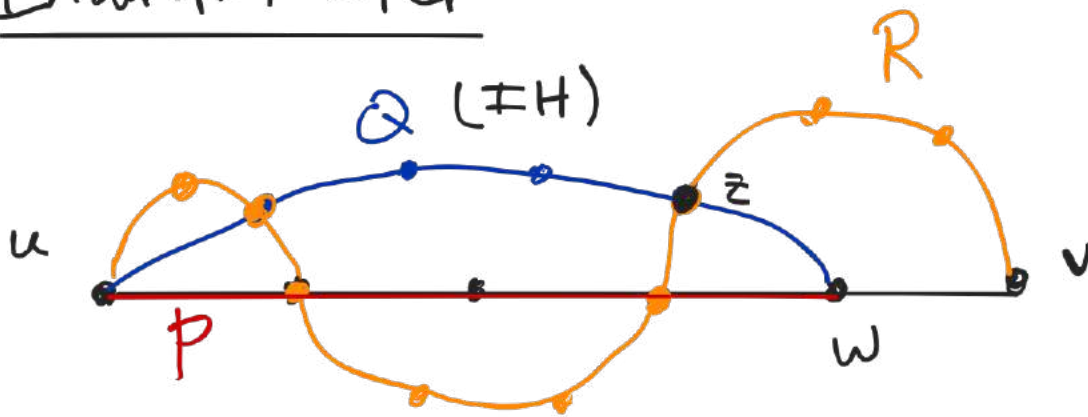
• Observe that $G \setminus e$ is connected:



wlog G_1 has ≥ 2 vertices $\Rightarrow G \setminus u$
disconnected \times .

• $G \setminus e$ connected $\Rightarrow \exists$ disjoint
 (u,v) paths.

Induction Step



$G \setminus w$ connected $\Rightarrow \exists$ path R from v to u missing w .

if R disjoint from P or Q , done

else $z :=$ 1st contact of R w/
 $P \cup Q$. wlog $z \in Q$.

define $P_1 =$ follow R to z , then
follow Q .

$P_2 = v$ to w to P .

□

Menger's Theorem

$$G = (V, E)$$

$$k(G) = \min \left\{ |S| : S \subset V, G \setminus S \text{ disconnected} \right\}$$

(vertex) connectivity.

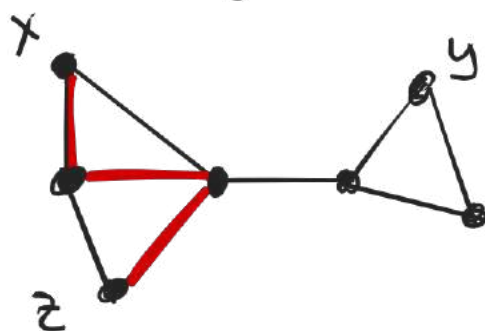
For $x, y \in V$ define

$$k(x, y) = \min \left\{ |S| : \begin{array}{l} S \subset V \setminus \{x, y\} \\ x, y \text{ in diff} \\ \text{comp. of } G \setminus S. \end{array} \right\}$$

(x, y) -vertex
cover

Then $k(G) = \min_{x, y} k(x, y)$.

eg

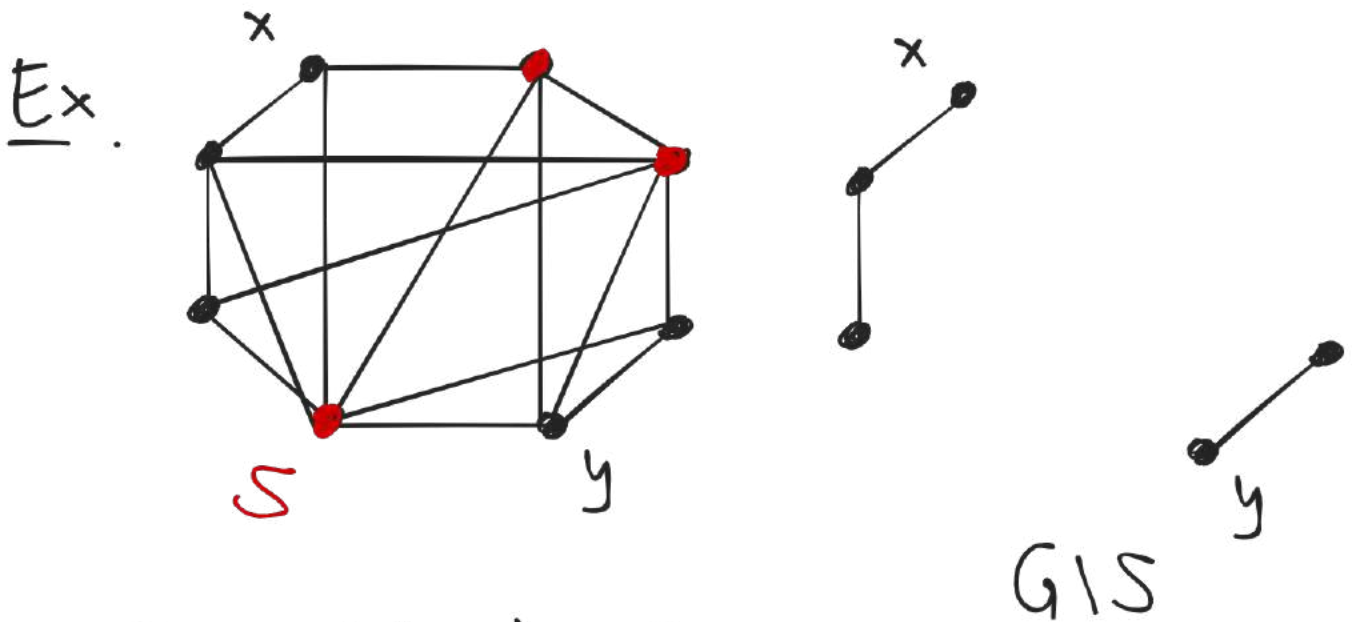


(not every
path is
part of
maximum
family)

$$k(x, y) = k(z, y) = 1, \quad k(x, z) = 2.$$

Remark $\{x, y\} \in E \Rightarrow k(x, y) = \min(\phi)$.

As with $k(G)$ not obvious
 how to efficiently compute $k(x,y)$.



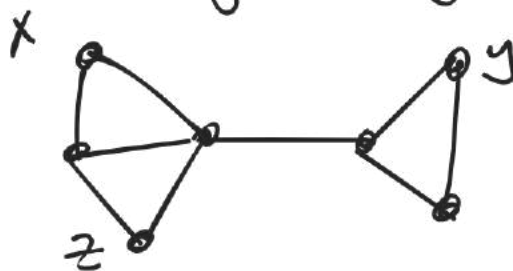
so $k(x,y) \leq 3$.

But how do we show

$k(x,y) \geq 3$ without casework?

Define $\lambda(x,y) = \max \#$ of pairwise
 disjoint (x,y) -paths.

in example



$$\lambda(x,y) = 1 = \lambda(z,y), \quad \lambda(x,z) = 2.$$

Thm (Menger) Fix $G = (V, E)$

$x, y \in V$ s.t. $\{x, y\} \notin E$. Then

$$k(x, y) = \lambda(x, y).$$

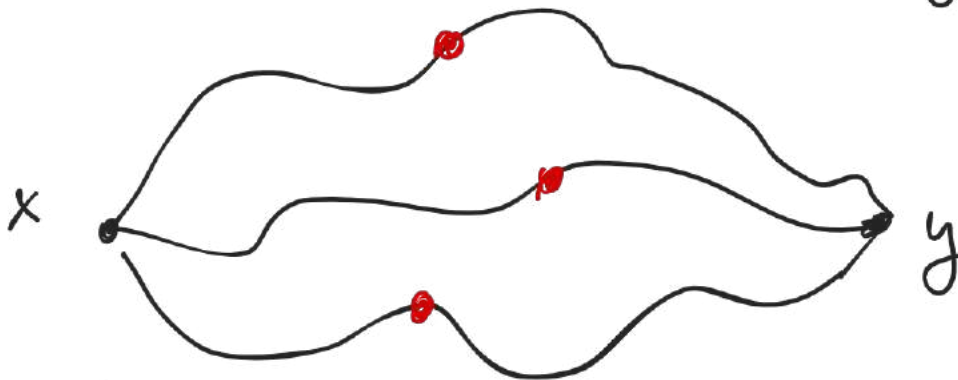
Ranks

(1) This is like König's Thm

max matching \leftrightarrow min vertex cover

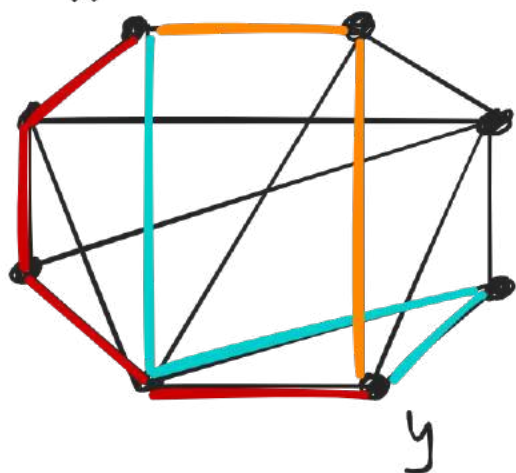
Here max disjoint (x, y) -paths \leftrightarrow min (x, y) vertex cut.

(2) $k(x, y) \geq \lambda(x, y)$ easy:



if S is an (x, y) -vertex cut
then S must meet each path
from x to y .

(3) Example above. $k(x, y) \leq 3$.



$$\Rightarrow \lambda(x, y) \geq 3$$

$$\Rightarrow k(x, y) = 3$$

(Merger)

$$(4) k(G) = \min_{x, y} k(x, y) = \min_{x, y} \lambda(x, y)$$

gives way to compute k .

eg $k(Q_3) = 3$ (last time)

$k(Q_3) \leq 3$ easy. For $k(Q_3) \geq 3$

show between any two pts \exists

3 disjoint paths.

